



Schwab Designated Beneficiary Plan Distribution Authorization Form

Schwab.com
1-800-435-4000
(inside the U.S.)
+1-415-667-5009
(outside the U.S.)
1-888-686-6916
(multilingual services)

- Use this form to notify Schwab of the death of the last surviving Designated Beneficiary Plan account holder and to instruct Schwab (and Schwab Bank, if applicable) on how to distribute the decedent's total assets. This form should be completed by the decedent's individual beneficiary(ies) or the guardian or custodian of a beneficiary who is a minor. Each beneficiary must complete a separate form.
- Required documentation. Please attach the following to this form:
 - A copy of the account holder's death certificate
 - A notarized Affidavit of Domicile
 - A tax waiver, if required by state law
- If you are a nonresident alien without a Social Security number, you will need to complete IRS Form W-8BEN and send it with a certified copy of your passport to Schwab with this form. You may need to obtain an Individual Taxpayer Identification Number (ITIN) from the IRS before you can complete IRS Form W-8BEN. Please visit the IRS website at www.irs.gov for more information.
- **Schwab Global Accounts:** If the decedent had a Schwab Global Account™ associated with a Schwab One® account, the beneficiary(ies) must meet Schwab Global Account eligibility requirements to maintain the assets in kind. If the beneficiary(ies) does not meet the requirements, Schwab may close the Schwab Global Account. In closing the account, Schwab will transfer all eligible securities to the decedent's Schwab One account and sell ineligible securities in the Schwab Global Account, convert all foreign currency into U.S. dollars, and transfer the amount to the decedent's Schwab One account. Securities will be valued in U.S. dollars in the decedent's Schwab One account. Foreign currency transfers will be subject to exchange charges.
- If you are requesting a lump-sum distribution:
 - A new Schwab One account must be opened so assets can be registered in your name
 - Once the assets have been transferred into the account, your distribution will be processed*
 - Please call 1-888-297-0244 with any questions

Decedent's Account Information

Name of Deceased Account Holder *First Middle Name Last Name, Suffix*

Account Number

Schwab One Distribution Instructions

Please select one of the following options.

- Transfer the assets to the beneficiary's Schwab One account:** _____
Schwab One Brokerage Account Number
- Open a new Schwab One account in the name of the beneficiary and transfer the assets into the new account. (Attach a completed Schwab One Account Application. If the beneficiary is a trust or organization, the corresponding Schwab One Account Application must be attached.)**
 - Sell all my securities and send a check.***
- Open a new Schwab Bank High Yield Investor Checking® account and linked Schwab One account and transfer the assets into the new account. (Attach a completed Schwab Bank High Yield Investor Checking Account and Linked Schwab One Brokerage Account Application.)**
- Open a new Schwab One custodial account in the name of the minor beneficiary and transfer the assets into the new account. (Attach a completed Schwab One Custodial Account Application.)**
 - Sell all my securities and send a check.***

***Note:** If you elect to sell securities, please note that sales of existing positions will not be completed until the new account has been opened. Since the prices of securities may fluctuate, the value of the existing positions may rise or fall between the time this Application is completed and the time the existing positions are sold. You agree that Schwab is not responsible for any changes in the value of the inherited securities. You may contact us at 1-888-297-0244 with questions about how to sell your securities.

Schwab Global Account™ Distribution Instructions

Please select one of the following options.

- Transfer the assets to the beneficiary's Schwab Global Account:** _____
Schwab Global Account Number
- Open a new Schwab Global Account in the name of the beneficiary and transfer the assets into the new account. (Attach a completed Add a Schwab Global Account to an Existing Schwab One® Account Application.)**
- Sell foreign currencies and transfer all assets to the Schwab One account noted above.**

Signature and Affirmation

Any and all debts of the deceased, claims against the estate, administration expenses, inheritance and estate taxes, and legacies having priority will be provided for or paid. This certification is made for the purpose of securing the transfer or delivery of property owned at the time of the decedent's death to a purchaser or the person(s) legally entitled thereto under the laws of the state of the decedent's domicile. Any apparent inequity in distribution is appropriate, whether it is due to the manner of distribution of other estate assets, the provisions of the estate plan, or other factors.

I certify under penalty of perjury under the laws of the state of California (and Nevada if I am receiving funds from the referenced High Yield Investor Checking account) that the information provided on this form is true and correct and that, to my knowledge, there are no known disputes as to the persons entitled to distribution or the amounts to be distributed to each person and no known claims that would affect the distribution requested.

SIGN HERE**X**

Today's Date mm/dd/yyyy

Print Name

Return Instructions

- **Upload** online with secure messaging (if you are an existing client and have online access to your account).
 1. Go to [Schwab.com](https://www.schwab.com) and log in to your account.
 2. Click Message Center (under Service), and then click Upload Document.
- **Fax** to 1-888-526-7252.
- **Bring** to your nearest Schwab branch (visit [Schwab.com/branch](https://www.schwab.com/branch) for locations).
- **Mail** to any of the following addresses:

Regular Mail (West)

Charles Schwab & Co., Inc.
 P.O. Box 982600
 El Paso, TX 79998-2600

Regular Mail (East)

Charles Schwab & Co., Inc.
 P.O. Box 628291
 Orlando, FL 32862-8291

Overnight Mail (West)

Charles Schwab & Co., Inc.
 1945 Northwestern Drive
 El Paso, TX 79912

Overnight Mail (East)

Charles Schwab & Co., Inc.
 1958 Summit Park Dr., Ste. 200
 Orlando, FL 32810

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