

The Charles Schwab Corporation, including its subsidiary companies Charles Schwab & Co., Inc., the registered broker-dealer, and Charles Schwab Trust Bank, is a leading provider of financial services with over 32,000 employees and over 350 domestic offices.

The following additional specific information regarding Charles Schwab & Co., Inc. and Schwab Trust Bank will be updated on a semi-annual basis and is provided to assist independent recordkeepers in responding to requests for information from prospective clients or retirement plan consultants. If you have any questions regarding the information provided, please contact your Schwab client service manager or independent recordkeeper:

Charles Schwab & Co., Inc.

Charles Schwab & Co., Inc. founded	1973
Active Brokerage accounts	35.3 million
Total client assets	\$9.12 trillion
Retirement plan accounts ¹	217,362
Self-directed brokerage accounts ²	665,309
Retirement plan account assets ¹	\$73 billion

Charles Schwab Trust Bank^{3, 4}

Charles Schwab Trust Bank founded	2018
Total fiduciary assets	\$452.2 billion
Retirement plan accounts	26,276
Retirement plan participants supported	7.7 million
Individual Retirement Accounts	272,055

Trade Platform Information⁵

Mutual fund families available	918
Total funds available	17,206

All data as of March 31, 2024.

- 1 The number of brokerage accounts and assets reported are for retirement plans brought to Charles Schwab & Co., Inc. by independent recordkeepers and other parties. These numbers do not include the number of accounts held in Schwab Personal Choice Retirement Accounts® (PCRA), Health Savings Brokerage Accounts (HSBA) or retirement plans held at Charles Schwab Trust Bank.
- 2 The number of Schwab Personal Choice Retirement Accounts® and Health Savings Brokerage Accounts, Schwab's self-directed brokerage account options for retirement plans doing business with Charles Schwab & Co., Inc. and/or Charles Schwab Trust Bank and Health Savings Brokerage Accounts. PCRA and HSBA are offered through Charles Schwab & Co., Inc. (Member SIPC), the registered broker/dealer, which also provides other brokerage and custody services to its customers.
- 3 The number of Schwab Personal Choice Retirement Accounts®, Schwab's self-directed brokerage account option for retirement plans doing business with Charles Schwab & Co., Inc. and/or Charles Schwab Trust Bank. PCRA is offered through Charles Schwab & Co., Inc. (Member SIPC), the registered broker/dealer, which also provides other brokerage and custody services to its customers.
- 4 The Charles Schwab Trust Company, a provider of services to retirement plans and other employee benefit plans was founded in 1992 and merged into Charles Schwab Bank in 2008. The transfer of the Schwab Bank Collective Trust Funds and the Automatic Rollover IRAs from Charles Schwab Bank to Charles Schwab Trust Bank was completed on January 1, 2019. Charles Schwab Bank completed the migration to Charles Schwab Trust Bank on January 1, 2020 with the transition of its directed trust and custody accounts.
- 5 Funds made available through Charles Schwab Trust Bank and/or Charles Schwab & Co., Inc.

The Charles Schwab Corporation provides services to retirement and other benefit plans and participants through its separate but affiliated companies and subsidiaries: Charles Schwab Trust Bank, Member FDIC, and Charles Schwab & Co., Inc., Member SIPC. Trust, custody, and deposit products and services are offered by Charles Schwab Trust Bank. Brokerage products and services are offered by Charles Schwab & Co., Inc.

Brokerage Products: Not FDIC-Insured • No Bank Guarantee • May Lose Value

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