

Secure File Transfer Protocol (SFTP)

Allows for more automation between systems and generation of automatic notifications.

Secure File Transfer Protocol (SFTP) provides an automated method of transferring various data files between Charles Schwab Trust Bank (CSTB) and client recordkeeping systems. Files transmitted via SFTP ensure that clients' sensitive data is protected, while offering a streamlined and more efficient transmission process. SFTP is a delivery method that is used in conjunction with Schwab Data Delivery (SDD), Schwab Advisor Center (SAC), and existing systems and software provided by the client. Additionally, SFTP is available to other third parties who exchange data with Schwab.

What files can be exchanged

For a standard setup, clients will push and pull files from Schwab's SFTP account. Clients can choose which files they wish to exchange via SFTP and continue to exchange other files via their preferred method.

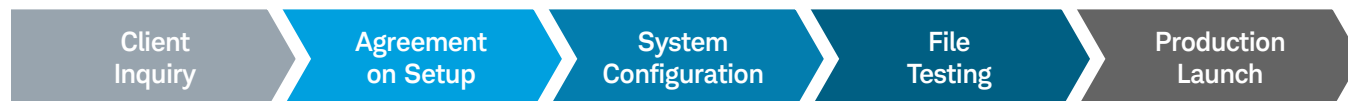
Below are examples of files that can be exchanged via SFTP¹.

Inbound	Outbound
<ul style="list-style-type: none">Trade FileDisbursement FileContribution Notice of Deposit File	<ul style="list-style-type: none">Trade Verification FilePrice Position FileCash Activity File

Establishing your SFTP connection

Standard SFTP setups take approximately 3-6 weeks and occur in five major phases: Client Inquiry, Agreement on Setup (SFTP Questionnaire and Files to Exchange), System Configuration (between Client and CSTB), File Testing, and finally Production Launch.

Standard setup: Approx. 3-6 weeks²



For more information, please contact your dedicated client service manager.

¹ Please note that custom setups require additional review and setup time

² For the most up-to-date file lists, please contact your dedicated client service manager for more details.

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