



Request an IRA/ESA Transfer Due to Divorce

Schwab.com

1-800-435-4000
(inside the U.S.)

+1-415-667-8400
(outside the U.S.)

1-888-686-6916
(multilingual services)

Complete and sign this form and return it to Schwab to request a transfer of interest from one IRA or ESA account to a spouse's or an ex-spouse's IRA or ESA account as a result of a divorce decree or separation instrument.

Please provide the following required information and documents:

- Final divorce decree or separation instrument with judge's signature.
- Marital property settlement agreement.
- Signatures of the account holder and the spouse or ex-spouse, as appropriate, are required.
- Recipient must complete and attach a Schwab IRA or ESA Account Application to receive the assets in a new Schwab account.

1 Schwab Account Information

We respect your privacy. Schwab will use the information you provide to process your transfer request. Read about Schwab's privacy policy at www.schwab.com/privacy.

Type of Account:

Please select only one.

- Traditional/Rollover IRA SEP-IRA/SARSEP-IRA SIMPLE IRA Roth IRA ESA

Account Holder's Name *First* _____ *Middle* _____ *Last* _____

Schwab IRA or ESA Account Number _____ Social Security/Tax ID Number _____ Daytime Telephone Number _____

2 Recipient Information

Name of Recipient _____ Daytime Telephone Number _____ Evening Telephone Number _____

Transfer assets to recipient's:

Please select only one.

- Schwab IRA or ESA Number: _____
- New Schwab IRA or ESA Account (Recipient must complete and attach a Schwab IRA or ESA Account Application.)
- IRA or ESA at another financial institution (Please attach a Transfer of Assets [TOA] form from the financial institution to which the recipient wishes to transfer the assets.)

3 Assets to Transfer

You may request a partial or an entire account transfer of your Schwab IRA or ESA. If you want a cash transfer from positions other than your available sweep cash balance, please specify below which securities and how many shares you would like to sell, or call us to place an order.

If you elect to sell shares, please note that sales of existing positions will not be completed until this form is processed. Since the prices of securities may fluctuate, the value of the existing positions may rise or fall between the time this form is completed and the time the existing positions are sold. You agree that Schwab is not responsible for any changes in the value of the securities. You may contact us at 1-800-435-4000 if you need to sell your securities immediately.

A. Partial Transfer

Please select only one.

- Distribute _____ % of the account pro rata on the date of the transfer.
- Distribute _____ % of the total account value from the available cash on the date of the transfer.
- Distribute entire sweep cash balance.
- Distribute \$ _____ from my sweep cash balance.
- Distribute all shares.
- Sell specific shares of securities listed and transfer proceeds. (List securities on the following page.)
- Transfer specific shares of securities listed to the account listed in Section 2. (List securities on the following page.)

If applicable, list all securities to be: (a) sold or (b) transferred in-kind to account listed in Section 2. (Additional securities should be listed on a separate sheet of paper.)

Note: The value of the assets is determined by the market price of the security at the time this form is processed. Schwab does not calculate the value based on a specific date.

Name of Security _____
 # of Shares _____ or \$ Amount _____

Name of Security _____
 # of Shares _____ or \$ Amount _____

Name of Security _____
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Name of Security _____
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Name of Security _____
 # of Shares _____ or \$ Amount _____

Name of Security _____
 # of Shares _____ or \$ Amount _____

B. Entire Account Transfer (Distribute entire balance.)

- Transfer entire account. Your Schwab account will remain open upon transferring the entire balance unless indicated below.
- Close account.

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Signature

You authorize Schwab to transfer cash and/or securities from the IRA or ESA according to the elections made by you on this form, and you certify that (1) the information on this form is correct and (2) Schwab may rely on your elections and certification without further investigation or inquiry.

Note: Signatures of the account holder and the spouse or ex-spouse, as appropriate, are required.

Signature(s) and Date(s) Required

SIGN HERE**X**

Today's Date *mm/dd/yyyy*

Print Name
X

Today's Date *mm/dd/yyyy*

Print Name

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Return Instructions

- **Upload** online with secure messaging (if you are an existing client and have online access to your account).
 1. Go to [Schwab.com](https://www.schwab.com) and log in to your account.
 2. Click the Message Center link (under Service), and then click the Upload Document link.
 3. Upload your form as an attachment by clicking the Add File button.
 4. When your message is complete, click Send.
- **Fax** to 1-888-526-7252.
- **Bring** to your nearest Schwab branch (visit [Schwab.com/branch](https://www.schwab.com/branch) for locations).
- **Mail** to any of the following addresses:

Regular Mail (West)

Charles Schwab & Co., Inc.
P.O. Box 982600
El Paso, TX 79998-2600

Regular Mail (East)

Charles Schwab & Co., Inc.
P.O. Box 628291
Orlando, FL 32862-8291

Overnight Mail (West)

Charles Schwab & Co., Inc.
1945 Northwestern Drive
El Paso, TX 79912

Overnight Mail (East)

Charles Schwab & Co., Inc.
1958 Summit Park Dr., Ste. 200
Orlando, FL 32810

