

# Instructions for the Schwab One® Account Application for Organizations

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**In order to open a Schwab One account for your organization, you must complete this application and provide the appropriate documentation from the table below. Failure to provide such documentation together with your application may delay the account opening process.**

ENTITY TYPE	REQUIRED DOCUMENT(S) TO BE SUBMITTED WITH YOUR APPLICATION	
	REQUIRED—SELECT ONE	
Corporation	<input type="checkbox"/> Relevant excerpts (e.g., name, address, Taxpayer Identification Number [TIN], and all signatures) of the Articles of Incorporation (or other governing instrument), or <input type="checkbox"/> A Certificate of Good Standing obtained within 90 days prior to submitting your Schwab account application	
	REQUIRED—SELECT ONE	
Limited Liability Company	<input type="checkbox"/> An Operating Agreement, a Certificate of Formation, or other governing instrument, or <input type="checkbox"/> A Certificate of Good Standing obtained within 90 days prior to submitting your Schwab account application	
	REQUIRED	REQUIRED—SELECT ONE
Limited Liability Partnership	Relevant excerpts (e.g., name, address, TIN, and all signatures) of the Partnership Agreement <b>AND</b> <input type="checkbox"/> An IRS letter assigning a TIN, or <input type="checkbox"/> A business license, or <input type="checkbox"/> A DBA name registration or a Letter of Good Standing from the state issued within 90 days prior to submitting your Schwab account application	
	REQUIRED	REQUIRED—SELECT ONE
General Partnership	Relevant excerpts (e.g., name, address, TIN, and all signatures) of the Partnership Agreement <b>AND</b> <input type="checkbox"/> An IRS letter assigning a TIN, or <input type="checkbox"/> A business license, or <input type="checkbox"/> A DBA name registration or a Letter of Good Standing from the state issued within 90 days prior to submitting your Schwab account application	
	REQUIRED—SELECT ONE	
Sole Proprietorship	<input type="checkbox"/> A business license, or <input type="checkbox"/> A fictitious name registration, or <input type="checkbox"/> A certificate of registration of trade name	
	REQUIRED—SELECT ONE	
Unincorporated Association or Other	Relevant excerpts (e.g., name, address, TIN, and all signatures) of the: <input type="checkbox"/> Operating Agreement, or <input type="checkbox"/> Articles of Organization	

Schwab, like all U.S. financial institutions, is required to follow federal regulations to assist the government in its efforts to fight money laundering and other financial crimes. Schwab therefore requests specific information and documentation in order to verify the identity of an entity applying for a Schwab account, as well as the identities of that entity's control persons, authorized individuals, and beneficial owners. Schwab may require additional documentary evidence demonstrating identity and legal status. Schwab also captures personal information on all customers and related authorized parties who have the ability to transact, control, or manage an account. Schwab has the discretion to not accept an account, to close an account, or to terminate any and all services rendered under the Schwab One Account Agreement at any time.