



# Declare Eligibility for Canadian Tax Treaty Benefits Limited Liability Company

www.schwab.com | 1-800-992-4685 (inside the U.S.) | +1-415-667-8400 (outside the U.S.) | 1-888-686-6916 (multilingual services) Clients of independent investment advisors, contact your advisor directly or call Schwab Alliance at 1-800-515-2157.

- Use this form if you are a Limited Liability Company located in the United States and you are entitled to receive the reduced rate of tax provided by the treaty on all income.
- **Note:** Partnerships must use the Declare Eligibility for Canadian Tax Treaty Benefits–Partnerships form, and Individuals, Corporations, and Trusts must use the Declare Eligibility for Canadian Tax Treaty Benefits–Individual, Corporation, or Trust form.
- If the Limited Liability Company is subject to tax as a Corporation on its worldwide income, complete the *Declare Eligibility for Canadian Tax Treaty Benefits–Individual, Corporation, or Trust* form to claim benefits that the Corporation itself is entitled to.

## Investment Advisor ("IA") Information (This portion to be completed by IA.)

IA Firm Name (Please print.)

IA Master Account Number

Service Team

IA Contact Name (if follow-up is required)

IA Telephone Number

IA Email Address

## 1. Enter Your Account Information

Enter the Limited Liability Company's Schwab account information.

Legal Name of Limited Liability Company

Address

City

State or Province

Zip or Postal Code

As required by federal law, Schwab and Schwab Bank will use this information to verify your identity.

Tax Identification Number

Canadian Tax ID Number, if any

Primary Schwab Account Number

**2. Certify and Sign**

**For tax withholding purposes, this declaration expires when there is a change in the taxpayer's eligibility for treaty benefits or three years from the end of the calendar year in which this form is signed and dated, whichever is earlier.**

- I certify that the information provided on this form is accurate and complete.
- I certify that the LLC is entitled to favorable tax treatment on all income as a U.S. tax resident.
- For any beneficial account owner (other than a Partnership) deriving income through the Limited Liability Company, the Limited Liability Company has received a completed *Declare Eligibility for Canadian Tax Treaty Benefits—Individual, Corporation, or Trust* form or *Declare Eligibility for Canadian Tax Treaty Benefits—Partnerships* form (or equivalent information), as appropriate, indicating all beneficial account owners are entitled to the benefits of the tax treaty between Canada and the U.S. regarding all income. In addition, for any Partnership deriving income regarding all income. In addition, for any Partnership deriving income through the Limited Liability Company, the Limited Liability Company has received a Partnership Statement certifying all Partners are entitled to favorable tax treatment on all income.
- I undertake to immediately notify Charles Schwab of any changes to the information provided on this form.
- I certify that interest, dividends, and royalty income are the only types of income subject to Canadian withholding tax and eligible for treaty relief.
- I certify that the Limited Liability Company has obtained declaration forms from each of its members confirming that they are U.S. tax residents.

**X**

Signature: Authorized Person

Today's Date (mm/dd/yyyy)

Print Name

Title