



Declare Eligibility for Canadian Tax Treaty Benefits

Individual, Corporation, or Trust

www.schwab.com | 1-800-992-4685 (inside the U.S.) | +1-415-667-8400 (outside the U.S.) | 1-888-686-6916 (multilingual services)
 Clients of independent investment advisors, contact your advisor directly or call Schwab Alliance at 1-800-515-2157.

- Use this form if you are a U.S. Resident Taxpayer and are entitled to receive the reduced rate of tax provided by the treaty between the U.S. and Canada on all income.
- **Note:** Partnerships must use the Declare Eligibility for Canadian Tax Treaty Benefits–Partnerships form, and Limited Liability Companies must use the Declare Eligibility for Canadian Tax Treaty Benefits–Limited Liability Company form.

Investment Advisor ("IA") Information (This portion to be completed by IA.)

IA Firm Name *(Please print.)*

IA Master Account Number	Service Team
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IA Contact Name <i>(if follow-up is required)</i>	IA Telephone Number	IA Email Address
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1. Enter Your Information

Enter the name of the Individual, Corporation, or Trust to which this declaration applies. For example, for a Trust or Corporation, enter the specific name of the Trust or Corporation, not the name of the Trustee or Agent.

Name of Individual, Trust, or Corporation

Address	City	State or Province	Zip or Postal Code
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Primary Schwab Account Number	Canadian Tax ID Number, if any
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Enter the U.S. Tax ID or Social Security Number (SSN).

Individual SSN, Corporation Tax ID Number, or Trust Tax ID Number

As required by federal law, Schwab and Schwab Bank will use this information to verify your identity.

Is the Individual, Trust, or Corporation named above a U.S. Tax Resident? Yes No

2. Certify and Sign

- I certify that the information provided on this form is accurate and complete.
- I certify that to the best of my knowledge and based on the factual circumstances, the Individual, Corporation, or Trust to which this declaration applies is a U.S. Resident Taxpayer, is the beneficial owner of all income, and is entitled to favorable tax treatment between Canada and the United States.
- I undertake to immediately notify Charles Schwab of any changes to the information provided on this form.

This form can only be signed by one Account Holder. If you have a joint account, complete a separate form for each Account Holder.

X

Signature: Account Holder	Today's Date (mm/dd/yyyy)
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Print Name

For tax withholding purposes, this declaration expires when there is a change in the taxpayer's eligibility for treaty benefits or three years from the end of the calendar year in which this form is signed and dated, whichever is earlier.