



# Schwab 529 College Savings Plan

## Change of Investment Form

- You can change your existing investment selection or change your future allocations by completing this form, by telephone at **1-888-903-3863**, or online at **schwab.com**.
- You may change your investment selection twice per calendar year.
- Type in your information and print out the completed form, or print clearly, preferably in capital letters and black ink. Mail the form to the address below. Do not staple.
- Forms can be downloaded from our website at **schwab.com/forms**, or you can call us to order any form—or request assistance in completing this form—at **1-888-903-3863**.

Return the completed form and any other required documents to:

**Schwab 529 Plan  
P.O. Box 2906  
Shawnee Mission, KS 66201-2906**

Or fax to: **1-617-559-8913**

### 1. Account information

—

Account Number

Name of Primary Account Owner/Responsible Individual/Custodian (*first, middle initial, last*) or Trust

Name of Joint Account Owner (*first, middle initial, last*)

—    —

Telephone Number (*In case we have a question about your Account.*)

Name of Designated Beneficiary (*first, middle initial, last*)



## 2. Select your new Schwab 529 Plan Portfolio

Within both the Age-Based Tracks and the Static Portfolios, you have the option of selecting actively managed and index funds that best suit your investing style and goals. See the Schwab 529 Plan Guide and Participation Agreement (Guide), available at [schwab.com/529](http://schwab.com/529), for complete information on the Investment Portfolios available.

**Note:** This change applies only to the assets held in your Account; it will not affect the allocation of your future contributions. If you want future contributions to be directed to a new portfolio(s), you must complete both **Sections 2** and **3**.

### Age-Based Track

You can choose from four risk tracks based on your investment objectives and risk tolerance. As your Designated Beneficiary gets older, your assets will move to a progressively more conservative portfolio.

<b>Actively Managed</b>	Aggressive	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	%
	Moderately Aggressive	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	%
	Moderate	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	%
	Moderately Conservative	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	%
<hr/>			
<b>Index</b>	Aggressive (Index)	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	%
	Moderately Aggressive (Index)	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	%
	Moderate (Index)	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	%
	Moderately Conservative (Index)	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	%

### Static Portfolios

You can choose from several portfolios depending on your investment objectives, risk tolerance, and time horizon. Contributions stay in the portfolio you choose until you change your portfolio selection or until the Account is closed.

<b>Actively Managed</b>	Aggressive	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	%
	Moderately Aggressive	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	%
	Moderate	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	%
	Moderately Conservative	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	%
	Conservative	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	%
	Short-Term	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	%
<hr/>			
<b>Index</b>	Aggressive (Index)	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	%
	Moderately Aggressive (Index)	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	%
	Moderate (Index)	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	%
	Moderately Conservative (Index)	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	%
	Conservative (Index)	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	%
	Short-Term (Index)	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	%
<hr/>			
	Money Market	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	%
		<input type="checkbox"/> 1 <input type="checkbox"/> 0 <input type="checkbox"/> 0	%

### 3. Allocation instructions for future contributions

Complete the following to direct how your future contributions are to be invested.

A. **Please check one box below:**

If you do not complete this section, your allocation percentages will NOT be changed. Thus, your future contributions will continue to be invested in the existing portfolio(s), not the portfolio(s) you selected in **Section 2**.

Direct all new contributions to the same allocation percentages selected in **Section 2**. *(no further action is necessary in Section 3)*

Direct all new contributions to the allocation percentages selected below *(complete Section 3B)*.

B. **Complete this section only if you want to direct your future contributions to a different portfolio(s) than what you selected in Section 2.**

#### Age-Based Track

You can choose from four risk tracks based on your investment objectives and risk tolerance. As your Designated Beneficiary gets older, your assets will move to a progressively more conservative portfolio.

##### Actively Managed

- Aggressive    %
- Moderately Aggressive    %
- Moderate    %
- Moderately Conservative    %

##### Index

- Aggressive (Index)    %
- Moderately Aggressive (Index)    %
- Moderate (Index)    %
- Moderately Conservative (Index)    %

#### Static Portfolios

You can choose from several portfolios depending on your investment objectives, risk tolerance, and time horizon. Contributions stay in the portfolio you choose until you change your portfolio selection or until the Account is closed.

##### Actively Managed

- Aggressive    %
- Moderately Aggressive    %
- Moderate    %
- Moderately Conservative    %
- Conservative    %
- Short-Term    %

##### Index

- Aggressive (Index)    %
- Moderately Aggressive (Index)    %
- Moderate (Index)    %
- Moderately Conservative (Index)    %
- Conservative (Index)    %
- Short-Term (Index)    %

Money Market    %

%

#### 4. Signature — YOU MUST SIGN BELOW

Schwab 529 Plan Account Owners, Responsible Individual or Custodian must sign this form.

I authorize the change of investment in my Account to the Investment Portfolio(s) I selected in **Section 2** and/or the allocation of my future contributions to the Investment Option(s) I selected in **Section 3**. I certify that I have read and understand, consent, and agree to all the terms and conditions of the Plan Guide, and understand the rules and regulations governing the Schwab 529 Plan.

SIGNATURE

Signature of Primary Account Owner/Responsible Individual/Custodian/Trustee(s)

□□ — □□ — □□□□

Date (mm/dd/yyyy)

SIGNATURE

Signature of Joint Account Owner

□□ — □□ — □□□□

Date (mm/dd/yyyy)