

**DANA JONES
123 MAIN STREET
ANYTOWN
NY 12345**

Welcome To The Schwab Quarterly Portfolio Profile™ Report.

The QPP provides a quarter end snapshot of general performance data for the securities within your portfolio, and identifies areas where you may wish to take action.

The QPP is designed to help you:

- Compare your portfolio's asset allocation with a target model
- Pinpoint sectors and individual equities where you might be over or under concentrated
- View Schwab Equity Ratings® for the stocks in your portfolio
- Identify areas that may require immediate action

If you would like help interpreting your report or want to discuss your portfolio, please call us at the number listed on the next page.



QUARTERLY PORTFOLIO PROFILE Q3 2016
 Specially Prepared for Dana Jones from data reported on September 30, 2016

Questions? Contact us:

C. Schwab Financial Consultant
 800-435-9050

Customer Service and Trading
 800-435-9050

Your Portfolio includes the following Accounts as of 06/30/14

Account #	Account Title	Net Portfolio Value
0000-0001	Dana Jones	\$373,985
0000-0002	Dana Jones	\$291,417
0000-0003	Dana Jones	\$1,715,767
0000-0004	Dana Jones	\$248,255
0000-0005	Dana Jones	\$90,550
0000-0006	Dana Jones	\$501,410
0000-0007	Dana Jones	\$3,064,490
Total Portfolio Value		\$6,285,874

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Click on the title below and you will be taken to the corresponding QPP page.

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Portfolio Alerts

We have identified the following potential issues within your portfolio that could impact the likelihood of achieving your investment goals and objectives. If you would like to discuss these notifications, please call your Financial Consultant or call 800-435-9050.

Asset Allocation (2 alerts)

⚠️ **Small Cap Equity** page 4

Your portfolio is overweight in Small Cap Equity.

⚠️ **Fixed Income** page 4

Your portfolio is underweight in Fixed Income.

Funds | View (1 alert)

[OneSource Select List®](#)

⚠️ **Morningstar Rating** page 8

One or more of your mutual funds has a Morningstar rating of 1 or 2 stars, and is not on the OneSource Select List®.

Sector Diversification (1 alert)

⚠️ **Sector Diversification** page 5

Your portfolio deviates by more than 20% in one or more sectors of the market.

Equities | View (1 alert)

[Schwab Stock Lists®](#)

⚠️ **Equity Quality** page 7

One or more of this portfolio's equities is rated D or F. View Schwab Stock Lists® for rebalancing ideas.

Looking for new ideas?

www.schwab.com/schwabstocklists

Use the weekly **Schwab Stock Lists®** to generate new stock ideas to rebalance your portfolio. Based on Schwab Equity Ratings®, these lists reflect our top rated stocks for a particular sector, size or style that might be right for your portfolio.

With **Schwab's Mutual Fund OneSource Select List®¹**, you can quickly narrow your search to a list of no-load, no-transaction-fee² funds that have been handpicked by the experts at Charles Schwab Investment Advisory, Inc.³ View the **OneSource Select List®**

www.schwab.com/SchwabMutualFundBuilder

If you're looking for a one-stop solution to meet your asset allocation needs, see how the **Schwab Mutual Fund Portfolio Builder** can help you create a well diversified portfolio.

¹Charles Schwab & Co., Inc., member SIPC, receives remuneration from fund companies participating in the Mutual Fund OneSource® services.

²Schwab's short-term redemption fee will be charged on redemptions of funds bought through Schwab's Mutual Fund OneSource® service with no transaction fees. For each of these trade orders placed through a broker, a \$25 service charge applies.

³Charles Schwab Investment Advisory, Inc. is an affiliate of Charles Schwab & Co., Inc.

Investors should consider carefully information contained in the prospectus, including investment objectives, risks, charges and expenses. You can request a prospectus by calling Schwab at 800-435-9050. Please read the prospectus carefully before investing.

Diversification strategies do not ensure a profit and do not protect against losses in declining markets.

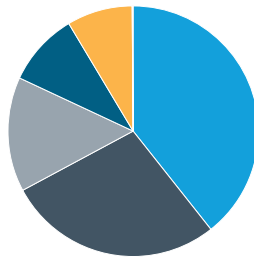
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Current vs Target Asset Allocation

Current Allocation

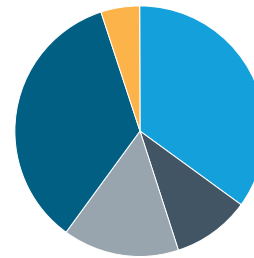
■ Large Cap Equity	39.3%
■ Small Cap Equity	27.8%
■ International Equity	14.8%
■ Fixed Income	9.4%
■ Cash Investmentst	8.4%
■ Other	0.1%



Target Asset Allocation

Moderate

■ Large Cap Equity	35.0%
■ Small Cap Equity	10.0%
■ International Equity	15.0%
■ Fixed Income	35.0%
■ Cash Investmentst	5.0%
■ Other	0.0%



Asset Class	Current		Target		Rebalancing Guidelines	
	Value (\$)	(%)	Value (\$)	(%)	Value (\$)	(%)
Large Cap Equity	\$2,466,802	39.3%	\$2,194,403	35.0%	(\$272,399)	-4.3%
⚠ Small Cap Equity	1,744,880	27.8%	626,972	10.0%	(1,117,908)	-17.8%
International Equity	928,285	14.8%	940,458	15.0%	12,173	0.2%
⚠ Fixed Income	592,139	9.4%	2,194,403	35.0%	1,602,264	25.6%
Cash Investmentst	529,667	8.4%	313,486	5.0%	(216,181)	-3.4%
Other	7,950	0.1%	0	0.0%	(7,950)	-0.1%
Total	\$6,269,723[†]	100%	100%	100%		

Asset Allocation

This page highlights the differences between your portfolio's current asset allocation and the Schwab target asset allocation model you have chosen. The target asset allocation is a general guideline. If certain asset classes in your portfolio differ from the target allocation by more than 5%, you may want to consider rebalancing to bring your portfolio more in line with the target allocation.

You may want to over or underweight certain investment styles (growth or value) in your portfolio at various times. For Schwab's latest viewpoint, see [Schwab's Market Perspective](#).

Portfolio Alert

- ⚠ Small Cap Equity
Your portfolio is overweight in Small Cap Equity.
- ⚠ Fixed Income
Your portfolio is underweight in Fixed Income.

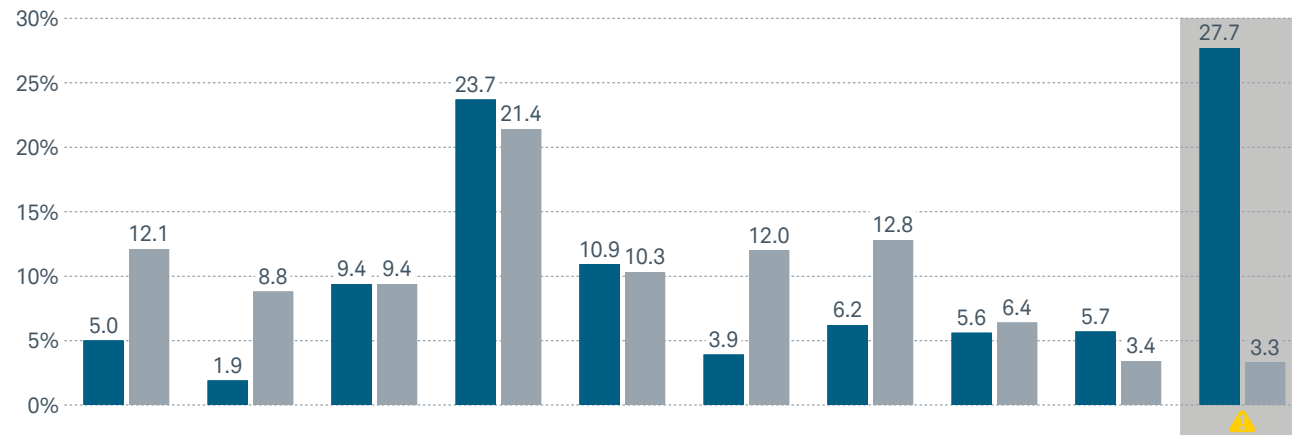
[†] The category 'Cash Investments' is an aggregate of your money market mutual funds, cash allocation in multi-asset mutual funds, money market sweep funds, Schwab Bank Deposit Sweep Feature, certificates of deposit issued with maturities equal to or less than 1 year, commercial paper, and treasury bills. Non-deposit investment products are held by Charles Schwab & Co., Inc., member SIPC, and its affiliates and are not FDIC insured, may lose value, and are not bank guaranteed. See your account statement, or representative for more details.
[‡] Portfolio analysis excludes short positions, margin balances, options, warrants, rights and futures.

Diversification strategies do not ensure a profit and do not protect against losses in declining markets.

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Sector Diversification as a Percent of Total Portfolio



	Consumer Discretionary	Consumer Staples	Energy	Financials	Health Care	Industrials	Information Technology	Materials	Telecom	Utilities
Your Portfolio†	5.0%	1.9%	9.4%	23.7%	10.9%	3.9%	6.2%	5.6%	5.7%	27.7%
Market (S&P BMI)*	12.1%	8.8%	9.4%	21.4%	10.3%	12.0%	12.8%	6.4%	3.4%	3.3%
Difference	-7.1%	-6.9%	0.0%	2.3%	0.6%	-8.1%	-6.6%	-0.8%	2.3%	24.4%

Sector Diversification

This page helps you review your portfolio's diversification across sectors. Economic events will often affect certain sectors more than others. If you spread your investments across many different sectors, your portfolio will be less impacted by a downturn in any individual sector.

The graph to the left shows the 10 sector components for the stock and mutual fund holdings in your portfolio and compares it to the market overall, represented by the S&P Broad Market Index (BMI)*. The dark bars show your portfolio's concentration in each sector. The light bars indicate the percentage of the market represented by each sector.

We recommend that your portfolio's concentration in an individual sector not vary from that of the market by more than 20%.

Portfolio Alert

⚠ Sector Diversification
Your portfolio deviates by more than 20% in one or more sectors of the market.

† Portfolio analysis excludes short positions, margin balances, options, warrants, rights and futures.

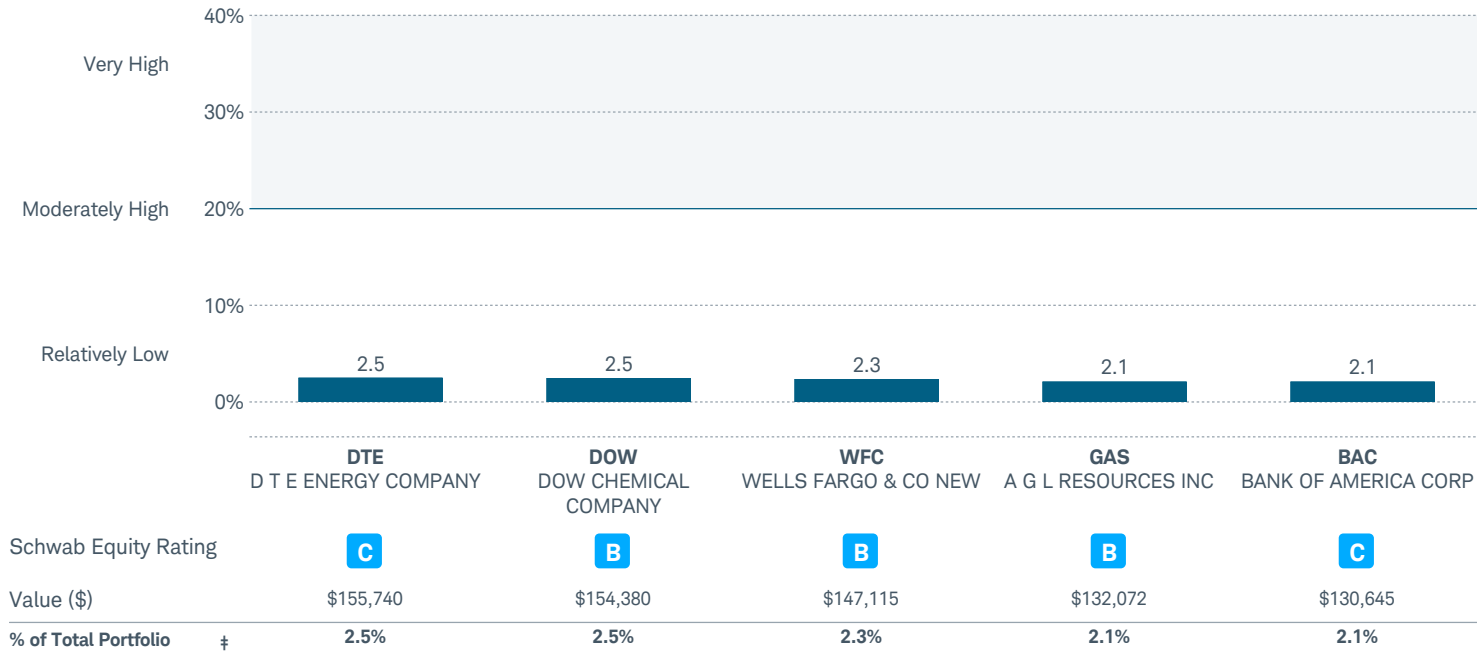
*The S&P Global Broad Market (BMI) index includes a combination of developed and emerging markets, comprised of the S&P Developed BMI and S&P Emerging BMI, which covers 46 countries in total. The index has a liquidity threshold where stocks listed in the index must have at least \$100 million in free float market capitalization for the 12 months prior to the annual reconstitution. The index can be decomposed into its large-, mid-, and small-cap components and adopts the GICS classification for sectors. Sectors for the country, region, and composite level are also calculated.

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Equity Concentration as a Percent of Total Portfolio



Equity Concentration

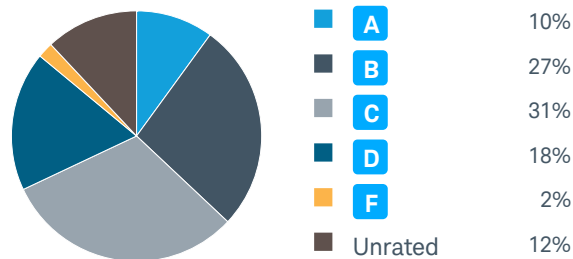
This page shows your top five stock positions and the percentage of your portfolio they represent. According to studies conducted by the Schwab Center for Financial Research†, if your largest individual stock holding accounts for more than 20% of your portfolio, this will most likely increase the volatility of your portfolio as a whole. You may want to take a second look at these holdings to make sure you are comfortable with the risk.

† The Schwab Center for Financial Research is a Division of Charles Schwab & Co. Inc.
‡ Portfolio analysis excludes short positions, margin balances, options, warrants, rights and futures.
Note: Your mutual funds may also hold individual stocks you own. If so, your effective concentration in these stocks will be even greater.

Schwab Equity Ratings®

Schwab Equity Rating as of 06/27/14	Stocks Held with a Schwab Equity Rating		
	Number of Stocks	06/30/14 Value (\$)	(%)
A	6	\$369,222	10%
B	19	990,064	27%
C	28	1,195,527	31%
D	19	669,358	18%
F	4	59,271	2%
Unrated	16	446,369	12%
Total	92	\$3,729,811 [†]	100%

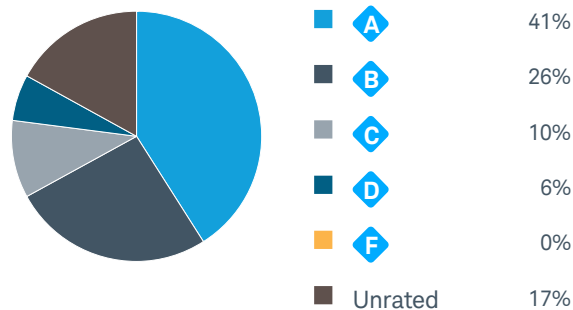
SER Ratings



Schwab Equity Ratings International®

Schwab Equity Rating International as of 06/27/14	Stocks Held with a Schwab Equity Rating International		
	Number of Stocks	06/30/14 Value (\$)	(%)
A	5	\$265,459	41%
B	8	173,125	26%
C	2	66,429	10%
D	1	36,024	6%
F	0	0	0%
Unrated	5	113,397	17%
Total	21	\$654,434 [†]	100%

SER Ratings International



[†] Portfolio analysis excludes short positions, margin balances, options, warrants, rights and futures.

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Equity Ratings

Schwab Equity Ratings®

This page gives you a snapshot of your equity holdings and Schwab's research outlook is that A-rated stocks, on average, will strongly outperform, and F-rated stocks, on average, will strongly underperform the equities market over the next 12 months. If you hold "D" or "F" rated stocks, you may wish to consider reevaluating.

Portfolio Alert

⚠ Equity Quality

One or more of this portfolio's equities is rated D or F. View [Schwab Stock Lists®](#) for rebalancing ideas.

Equity Ratings

Schwab Equity Ratings International®

This page gives you a snapshot of your equity holdings and Schwab's research outlook is that A-rated stocks, on average, will strongly outperform, and F-rated stocks, on average, will strongly underperform the equities market of the country where the stock is traded or in which the company is headquartered, over the next 12 months. If you hold "D" or "F" rated stocks, you may wish to consider reevaluating.

Portfolio Alert

⚠ Equity Quality

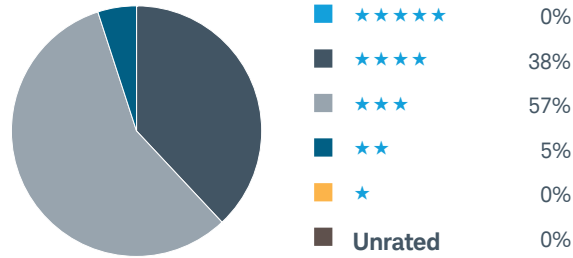
One or more of this portfolio's equities is rated D or F. View [Schwab Stock Lists®](#) for rebalancing ideas.

Morningstar Ratings Mutual Fund

Morningstar Rating as of 06/27/14	Funds Held with a Morningstar Rating		06/30/14 Value (\$)	(%)
	Number of Funds			
★★★★★	0		\$0	0%
★★★★	4		319,643	38%
★★★	8		477,095	57%
★★	4		43,316	5%
★	0		0	0%
Unrated	0		0	0%
Total	16		\$840,054	100%



Morningstar Ratings



Mutual Fund Ratings

Morningstar Ratings

You can view the Morningstar ratings of each of your mutual fund positions from 5 stars (highest) to 1 star (lowest). If you have 1 or 2 star rated mutual funds that are not on our Mutual Fund Select List, you may want to consider reevaluating your reasons for holding these mutual funds.

Portfolio Alert



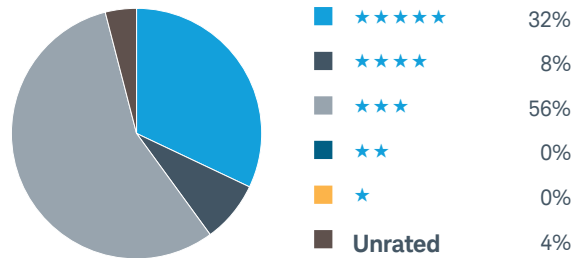
Morningstar Rating

One or more of your mutual funds has a Morningstar rating of 1 or 2 stars, and is not on the [OneSource Select List®](#).

Morningstar Ratings ETF

Morningstar Rating as of 06/27/14	Funds Held with a Morningstar Rating		06/30/14 Value (\$)	(%)
	Number of Funds			
★★★★★	4		\$85,099	32%
★★★★	2		22,201	8%
★★★	7		148,329	56%
★★	0		0	0%
★	0		0	0%
Unrated	2		10,863	4%
Total	15		\$266,492	100%

Morningstar Ratings



ETF Ratings

Morningstar Ratings

You can view the Morningstar ratings of each of your ETF positions from 5 stars (highest) to 1 star (lowest). If you have 1 or 2 star rated ETFs that are not on our ETF Select List, you may want to consider reevaluating your reasons for holding these ETFs.

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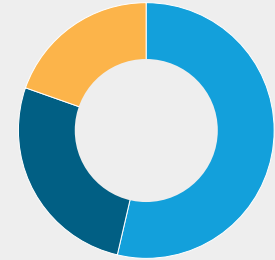


QUARTERLY PORTFOLIO PROFILE Q3 2016
 Specially Prepared for Dana Jones from data reported on September 30, 2016

0000-0001 Dana Jones

Security	Symbol	Rating	Quantity	Price (\$)	06/30/14 Value (\$)	Asset Class
Cash and Cash Investments						
CASH	--		--	--	\$25,200.00	Cash
Equities						
		Schwab Equity Rating as of 06/27/14				
FORD MOTOR COMPANY NEW	F	B	2,000	\$17.24	\$34,480.00	Large Cap
GOLDMAN SACHS GROUP INC	GS	D	200	167.44	33,488.00	Large Cap
HOME DEPOT INC	HD	C	400	80.96	32,384.00	Large Cap
INTEL CORP	INTC	B	1,500	30.90	46,350.00	Large Cap
THE CHARLES SCHWAB CORP	SCHW	NC	2,000	26.93	53,860.00	Large Cap
Fixed Income						
		S&P	Par Value			
ILLINOIS MED DIST 5%22COPS DUE 06/01/22OID XTRO NATIONAL PUBLIC	--	AA-	100,000	100.08%	\$100,082.00	Fixed Income
Mutual Funds						
		Morningstar Overall				
SCHWAB CASH RESERVES SWEEP SHARES	SWSXX	--	48,141	\$1.00	\$48,141.21	Other
Total Account Value					\$373,985.21	

Account Summary
 Account 0000-0001



Current Asset Allocation

Large Cap Equity	53.6%
Small Cap Equity	0.0%
International Equity	0.0%
Fixed Income	26.8%
Cash Investments	19.6%
Other	0.0%
Total†	100%

† Portfolio analysis excludes short positions, margin balances, options, warrants, rights and futures.

Legend: Within the Account Summary section of your QPP, the alert symbol will call your attention to one of three things: for equities with a D or F rated equity, for funds with a 1 or 2 stars Morningstar rated fund, and for fixed income with a bond maturing in the next 90 days. (Option) As of the quarter end, there was an open option position for this security. (Short) As of the quarter end, there was a short position in this security. Denotes a change in fund management during the 3-months ending June 30, 2014.

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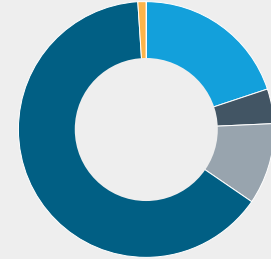


QUARTERLY PORTFOLIO PROFILE Q3 2016
Specially Prepared for Dana Jones from data reported on September 30, 2016

0000-0002 Dana Jones

Security	Symbol	Rating	Quantity	Price (\$)	06/30/14 Value (\$)	Asset Class
Cash and Cash Investments						
CASH	--		--	--	\$3,061.53	Cash
Equities						
		Schwab Equity Rating as of 06/27/14				
FACEBOOK INC CLASS A	FB	C	100	\$67.29	\$6,729.00	Large Cap
FORD MOTOR COMPANY NEW	F	B	200	17.24	3,448.00	Large Cap
HILTON WORLDWIDE HLDGS	HLT	B	100	23.30	2,330.00	Large Cap
SMITH & WESSON HLDG CORP	SWHC	C	100	14.54	1,454.00	Small Cap
TWITTER INC	TWTR	D	167	40.97	6,841.99	Large Cap
Fixed Income						
		S&P	Par Value			
COUNTY OF TRAVIS 5.5%16GO UTX DUE 03/01/16	--	AAA	10,000	108.58%	\$10,858.30	Fixed Income
CYPRESS-FAIRBKS IDS 5%16GO UTX DUE 02/15/16	--	AAA	20,000	107.66	21,531.20	Fixed Income
DONNA IDS 5%22GO UTX DUE 02/15/22	--	AAA	10,000	115.60	11,560.30	Fixed Income
LAMAR CONSLDT IDS 4.5%17GO UTX DUE 02/15/17	--	AAA	20,000	110.31	22,061.60	Fixed Income
LAREDO IDS 5%14GO UTX DUE 08/01/14	--	AAA	10,000	100.42	10,042.10	Fixed Income
Exchange-Traded Funds (ETF)						
		Morningstar Overall				
GUGGENHEIM ETF S&P 500 PURE GROWTH	RPG	★★★★★	250	\$77.31	\$19,327.50	Large Cap

Account Summary
Account 0000-0002



Current Asset Allocation

Large Cap Equity	19.9%
Small Cap Equity	4.4%
International Equity	10.3%
Fixed Income	64.4%
Cash Investments	1.1%
Other	<0.1%
Total†	100%

† Portfolio analysis excludes short positions, margin balances, options, warrants, rights and futures.

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0000-0002 Dana Jones (Continued)

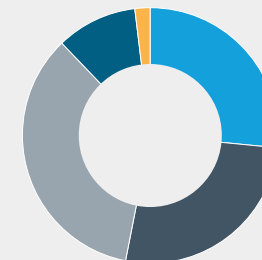
Security	Symbol	Rating	Quantity	Price (\$)	06/30/14 Value (\$)	Asset Class
Exchange-Traded Funds (ETF)						
		Morningstar Overall				
ISHARES MSCI GROWTH ETF	EFG	★★★	220	\$72.45	\$15,939.00	International
POWERSHS QQQ TRUST SER 1	QQQ	★★★★★	165	93.91	15,495.15	Large Cap
SCHW EMG MKT EQ ETF	SCHE	★★★	200	26.03	5,206.00	International
SCHW INTL EQ ETF	SCHF	★★★	200	32.98	6,596.00	International
SCHW US LCAP ETF	SCHX	★★★★	50	46.83	2,341.50	Large Cap
SPDR S&P 600 SMALL CAP GROWTH	SLYG	★★★★★	60	181.16	10,869.60	Small Cap
VANGUARD TOTAL WORLD STOCK ETF	VT	★★★	67	62.23	4,169.41	Multi-Asset
Mutual Funds						
		Morningstar Overall				
SCHWAB TAX FREE BOND FUND	SWNTX	★★★	9,398	\$11.87	\$111,555.17	Fixed Income
Total Account Value					\$291,417.35	

Legend: ⚠ Within the Account Summary section of your QPP, the alert symbol will call your attention to one of three things: for equities with a D or F rated equity, for funds with a 1 or 2 stars Morningstar rated fund, and for fixed income with a bond maturing in the next 90 days. Ⓞ (Option) As of the quarter end, there was an open option position for this security. Ⓢ (Short) As of the quarter end, there was a short position in this security. Ⓢ Denotes a change in fund management during the 3-months ending June 30, 2014. Please refer to the back of this report for important information.

0000-0003 Dana Jones

Security	Symbol	Rating	Quantity	Price (\$)	06/30/14 Value (\$)	Asset Class
Cash and Cash Investments						
CASH	--		--	--	\$670.85	Cash
Equities						
		Schwab Equity Rating as of 06/27/14				
A G L RESOURCES INC	GAS	B	400	\$55.03	\$22,012.00	Small Cap
 A T & T INC NEW	T	F	600	35.36	21,216.00	Large Cap
ALLIANCE RES PARTNERS LP	ARLP	NC	800	46.65	37,320.00	Small Cap
ALLIANT ENERGY CORP	LNT	C	500	60.86	30,430.00	Small Cap
AUST&NEW ZEALND BKG ADRFSPONSORED ADR 1 ADR REP 1 ORD	ANZBY	B	700	31.45	22,015.00	International
AVISTA CORPORATION	AVA	B	800	33.52	26,816.00	Small Cap
B C E INC NEW F	BCE	B	800	45.36	36,288.00	International
BANK MONTREAL QUEBEC F	BMO	B	450	73.59	33,115.50	International
BANK OF NOVA SCOTIA F	BNS	NC	200	66.60	13,320.00	International
BP PLC ADR FSPONSORED ADR 1 ADR REP 6 ORD	BP	A	700	52.75	36,925.00	International
CDN IMPERIAL BK COMM F	CM	A	400	90.98	36,392.00	International
CENTURYLINK INC	CTL	C	815	36.20	29,497.03	Large Cap
 CONSOLIDATED EDISON INC	ED	D	500	57.74	28,870.00	Small Cap





Account Summary
Account 0000-0003



Current Asset Allocation

Large Cap Equity	26.6%
Small Cap Equity	26.5%
International Equity	34.8%
Fixed Income	10.2%
Cash Investments	1.9%
Other	0.0%
Total†	100%

† Portfolio analysis excludes short positions, margin balances, options, warrants, rights and futures.

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0000-0003 Dana Jones (Continued)

Security	Symbol	Rating	Quantity	Price (\$)	06/30/14 Value (\$)	Asset Class
Schwab Equity Rating as of 06/27/14						
Equities						
COSTAMARE INC F	CMRE	NC	600	\$23.32	\$13,992.00	International
DELEK LOGISTICS LP	DKL	NC	400	34.45	13,780.00	Small Cap
ENTERGY CORP NEW	ETR	B	150	82.09	12,313.50	Small Cap
GENERAL ELECTRIC COMPANY	GE	B	1,500	26.28	39,420.00	Large Cap
KINDER MORGAN ENERGY LP UNIT LTD PARTNERSHIP INT	KMP	NC	100	82.21	8,221.00	Large Cap
KRAFT FOODS GROUP	KRFT	D	500	59.95	29,975.00	Large Cap
NOBLE CORP PLC F	NE	C	900	33.56	30,204.00	International
NORTHWEST NATURAL GAS CO	NWN	C	300	47.15	14,145.00	Small Cap
ONEBEACON INSURANCE GP F	OB	B	500	15.54	7,770.00	Small Cap
P G & E CORP	PCG	C	650	48.02	31,213.00	Large Cap
PINNACLE WEST CAPITAL CP	PNW	D	400	57.84	23,136.00	Small Cap
POTASH CORP SASK INC F	POT	B	500	37.96	18,980.00	International
PUB SVC ENT GROUP INC	PEG	C	900	40.79	36,711.00	Large Cap
ROGERS COMMUN INC CL B FCLASS B	RCI	C	900	40.25	36,225.00	International
ROYAL BK CDA MONTREAL F	RY	A	500	71.43	35,715.00	International
ROYAL DUTCH SHELL A ADRFSPONSORED ADR 1 ADR REPS 2 CL A ORD	RDSA	NC	400	82.37	32,948.00	International

Legend: Within the Account Summary section of your QPP, the alert symbol will call your attention to one of three things: for equities with a D or F rated equity, for funds with a 1 or 2 stars Morningstar rated fund, and for fixed income with a bond maturing in the next 90 days. (Option) As of the quarter end, there was an open option position for this security. (Short) As of the quarter end, there was a short position in this security. Denotes a change in fund management during the 3-months ending June 30, 2014. Please refer to the back of this report for important information.

0000-0003 Dana Jones (Continued)

Security	Symbol	Rating	Quantity	Price (\$)	06/30/14 Value (\$)	Asset Class
Schwab Equity Rating as of 06/27/14						
Equities						
SCANA CORPORATION NEW	SCG	C	600	\$53.81	\$32,286.00	Small Cap
SEADRILL LTD F	SDRL	D	100	39.95	3,995.00	Large Cap
SHAW COMMUN INC CL B FCLASS B NON VOTING SHS	SJR	B	1,300	25.66	33,358.00	International
TECO ENERGY INC	TE	C	1,100	18.48	20,328.00	Small Cap
TEXTAINER GROUP HLDGS F	TGH	C	700	38.62	27,034.00	Small Cap
TORONTO DOMINION BANK F	TD	NC	700	51.41	35,987.00	International
TRANSOCEAN INC NEW F	RIG	D	800	45.03	36,024.00	International
UIL HOLDINGS CO	UIL	NR	700	38.71	27,097.00	Small Cap
VERIZON COMMUNICATIONS	VZ	NR	900	48.93	44,037.00	Large Cap
WESTAR ENERGY INC	WR	C	900	38.19	34,371.00	Small Cap
ZURICH INSURANCE GP ADRSPONSORED ADR 1 ADR REPS 0.1 RD	ZURVY	A	1,000	30.10	30,100.00	International
Fixed Income		S&P	Par Value			
ATLANTIC PWR CORP 9%18 DUE 11/15/18	--	B	10,000	105.25%	\$10,525.00	Fixed Income
DYNCORP INTL 10.375%17 DUE 07/01/17	--	CCC+	10,000	104.63	10,462.50	Fixed Income
GLOBAL GEOPHYSIC 10.5%17 DUE 05/01/17	--	NR	10,000	36.50	3,650.00	Fixed Income
NATL MONEY MA 10.375%16F**CALLED** @105.188 EFF: 07/14/14	--	B	10,000	105.19	10,518.80	Fixed Income

Legend: Within the Account Summary section of your QPP, the alert symbol will call your attention to one of three things: for equities with a D or F rated equity, for funds with a 1 or 2 stars Morningstar rated fund, and for fixed income with a bond maturing in the next 90 days. (Option) As of the quarter end, there was an open option position for this security. (Short) As of the quarter end, there was a short position in this security. Denotes a change in fund management during the 3-months ending June 30, 2014. Please refer to the back of this report for important information.





QUARTERLY PORTFOLIO PROFILE Q3 2016
Specially Prepared for Dana Jones from data reported on September 30, 2016

0000-0003 Dana Jones (Continued)

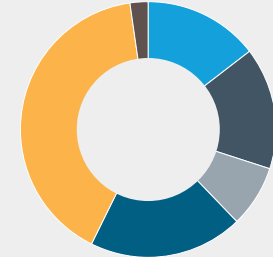
Security	Symbol	Rating	Quantity	Price (\$)	06/30/14 Value (\$)	Asset Class
Fixed Income		S&P	Par Value			
RADIATION THERA 8.875%17 DUE 01/15/17	--	B-	10,000	103.25%	\$10,325.00	Fixed Income
TOYS R US PPTY CO 8.5%17 DUE 12/01/17	--	B	10,000	102.13	10,212.50	Fixed Income
VERSO PAPER HLD 11.75%19 DUE 01/15/19	--	CCC	10,000	105.50	10,550.00	Fixed Income
Mutual Funds		Morningstar Overall				
ARTISAN INTL FUND INV	ARTIX	★★★★	1,023	\$31.53	\$32,251.94	International
CGM REALTY FUND	CGMRX	★★★	2,232	33.71	75,227.94	Multi-Asset
COHEN & STEERS REALTY SHARES	CSRSX	★★★	893	72.43	64,646.02	Multi-Asset
LOOMIS SAYLES BOND FUND CL R	LSBRX	★★★★	6,911	15.85	109,545.50	Fixed Income
SCHWAB CASH RESERVES SWEEP SHARES	SWSXX	--	32,070	1.00	32,070.49	Other
SCHWAB INTL INDEX FUND	SWISX	★★★	3,959	20.81	82,391.35	International
SCHWAB S&P 500 INDEX FD	SWPPX	★★★★	5,475	30.89	169,137.39	Large Cap
Total Account Value					\$1,715,767.31	

Legend: ⚠ Within the Account Summary section of your QPP, the alert symbol will call your attention to one of three things: for equities with a D or F rated equity, for funds with a 1 or 2 stars Morningstar rated fund, and for fixed income with a bond maturing in the next 90 days. Ⓞ (Option) As of the quarter end, there was an open option position for this security. Ⓢ (Short) As of the quarter end, there was a short position in this security. Ⓢ Denotes a change in fund management during the 3-months ending June 30, 2014.

0000-0004 Dana Jones

Security	Symbol	Rating	Quantity	Price (\$)	06/30/14 Value (\$)	Asset Class
Cash and Cash Investments						
CASH	--		--	--	\$31,059.18	Cash
SYNCHRONY BANK 3.3%23CD FDIC INS DUE 11/22/23DRAPER UT	--		--	--	5,154.06	Fixed Income
Equities						
		Schwab Equity Rating as of 06/27/14				
AGILENT TECHNOLOGIES INC	A	B	5	\$57.44	\$287.20	Large Cap
 COACH INC	COH	F	208	34.19	7,111.52	Small Cap
 GAP INC	GPS	D	49	41.57	2,019.91	Large Cap
HEWLETT-PACKARD COMPANY	HPQ	A	36	33.68	1,229.03	Large Cap
JOHNSON & JOHNSON	JNJ	B	78	104.62	8,160.36	Large Cap
Fixed Income						
		S&P	Par Value			
FNMA STRIP 0%23INT DUE 04/08/23STRIP FM 0%04/08/23	--	--	10,000	76.81 %	\$7,680.90	Fixed Income
GENERAL ELECTRIC 4.55%16 DUE 01/15/16	--	AA+	5,000	103.94	5,196.98	Fixed Income
HSBC FIN CORP 5.6%18 DUE 02/15/18	--	A	10,000	108.60	10,859.83	Fixed Income
Exchange-Traded Funds (ETF)						
		Morningstar Overall				
ISHARES SILVER TRUST INDEX FUND	SLV	--	280	\$20.25	\$5,670.00	Other





Account Summary
Account 0000-0004



Current Asset Allocation

Large Cap Equity	14.6%
Small Cap Equity	15.4%
International Equity	7.8%
Fixed Income	19.5%
Cash Investments	40.4%
Other	2.3%
Total†	100%

† Portfolio analysis excludes short positions, margin balances, options, warrants, rights and futures.

Legend:  Within the Account Summary section of your QPP, the alert symbol will call your attention to one of three things: for equities with a D or F rated equity, for funds with a 1 or 2 stars Morningstar rated fund, and for fixed income with a bond maturing in the next 90 days.  (Option) As of the quarter end, there was an open option position for this security.  (Short) As of the quarter end, there was a short position in this security.  Denotes a change in fund management during the 3-months ending June 30, 2014.

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0000-0004 Dana Jones (Continued)

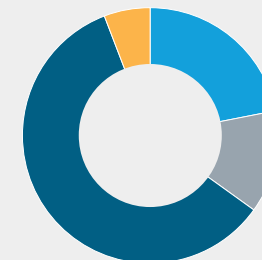
Security	Symbol	Rating	Quantity	Price (\$)	06/30/14 Value (\$)	Asset Class	
Exchange-Traded Funds (ETF)							
Morningstar Overall							
ISHARES TR RUSSELL 2000 ETF	IWM	★ ★ ★	70	\$118.81	\$8,316.70	Small Cap	
RYDEX ETF TRUST AUSTRALIAN DOLLAR TRUST	FXA	--	55	94.42	5,193.10	Fixed Income	
Mutual Funds							
Morningstar Overall							
ALLIANZ GI NFJ ALL CAP VALUE FD CL D	PNFDX	★ ★ ★	347	\$15.76	\$5,474.00	Large Cap	
BARON ASSET FUND	BARAX	★ ★ ★	163	64.69	10,559.03	Small Cap	
COLUMBIA EMERGING MRKTS FUND CL Z	UMEMX	★ ★ ★ ★	811	10.74	8,708.07	International	
DREYFUS INTL STOCK INDEX FUND	DIISX	★ ★ ★	245	17.73	4,347.72	International	
INVESCO AMER FRANCHISE CL A	VAFAX	★ ★	343	17.64	6,050.18	Large Cap	
JANUS FUND CL T	JANSX	★ ★	301	43.01	12,955.56	Large Cap	
SCHWAB INTL INDEX FUND	SWISX	★ ★ ★	304	20.81	6,325.22	International	
SCHWAB TAX FREE BOND FUND	SWNTX	★ ★ ★	1,208	11.87	14,333.26	Fixed Income	
SCHWAB VALUE ADVANTAGE MONEY FUND	SWVXX	--	69,262	1.00	69,262.48	Other	
TURNER MID CAP GROWTH INV CL	TMGFX	★ ★	319	38.60	12,300.55	Small Cap	
Total Account Value					\$248,254.84		

Legend: Within the Account Summary section of your QPP, the alert symbol will call your attention to one of three things: for equities with a D or F rated equity, for funds with a 1 or 2 stars Morningstar rated fund, and for fixed income with a bond maturing in the next 90 days. (Option) As of the quarter end, there was an open option position for this security. (Short) As of the quarter end, there was a short position in this security. Denotes a change in fund management during the 3-months ending June 30, 2014. Please refer to the back of this report for important information.

0000-0005 Dana Jones

Security	Symbol	Rating	Quantity	Price (\$)	06/30/14 Value (\$)	Asset Class
Cash and Cash Investments						
CASH	--		--	--	\$99.16	Cash
Equities						
		Schwab Equity Rating as of 06/27/14				
GENERAL MOTORS 8.375XXXESCROW PENDING POSSIBLE FUTURE DISTRIBUTIONS	--	NC	25,000	N/A	N/A	Other
ICON PLC F	ICLR	B	250	47.11	11,777.50	International
Fixed Income						
		S&P	Par Value			
FORD MOTOR COMPAN 8.9%32 DUE 01/15/32	--	BBB-	38,000	141.19%	\$53,653.34	Fixed Income
Exchange-Traded Funds (ETF)						
		Morningstar Overall				
POWERSHS EXCH TRAD FD TRDYNAMIC LARGE CAP VALUE PORTFOLIO	PWV	★★★★	655	\$30.32	\$19,859.60	Large Cap
Mutual Funds						
		Morningstar Overall				
SCHWAB CASH RESERVES SWEEP SHARES	SWSXX	--	5,160	\$1.00	\$5,160.46	Other
Total Account Value					\$90,550.06	

Account Summary
Account 0000-0005



Current Asset Allocation

Large Cap Equity	21.9%
Small Cap Equity	0.0%
International Equity	13.0%
Fixed Income	59.3%
Cash Investments	5.8%
Other	0.0%
Total†	100%

† Portfolio analysis excludes short positions, margin balances, options, warrants, rights and futures.

Legend: ⚠ Within the Account Summary section of your QPP, the alert symbol will call your attention to one of three things: for equities with a D or F rated equity, for funds with a 1 or 2 stars Morningstar rated fund, and for fixed income with a bond maturing in the next 90 days. Ⓞ (Option) As of the quarter end, there was an open option position for this security. Ⓢ (Short) As of the quarter end, there was a short position in this security. Ⓢ Denotes a change in fund management during the 3-months ending June 30, 2014.

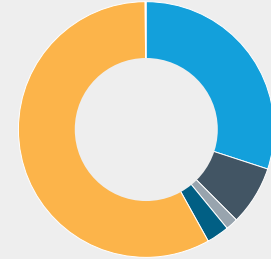
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0000-0006 Dana Jones

Security	Symbol	Rating	Quantity	Price (\$)	06/30/14 Value (\$)	Asset Class
Cash and Cash Investments						
BERKSHIRE BANK 0.4%15CD FDIC INS DUE 06/26/15PITTSFIELD MA	--		--	--	\$4,988.00	Cash
CASH	--		--	--	285,644.55	Cash
GE CAPITAL BANK 0.5%14CD FDIC INS DUE 10/27/14SALT LAKE CITY UT	--		--	--	10,009.33	Fixed Income
Schwab Equity Rating as of 06/27/14						
Equities						
AMER ELECTRIC PWR CO INC	AEP	B	334	\$55.77	\$18,623.50	Large Cap
AMERICAN AIRLS GROUP INC	AAL	D	150	42.96	6,444.00	Large Cap
APPLE INC	AAPL	C	403	92.93	37,419.33	Large Cap
CONOCOPHILLIPS	COP	A	251	85.73	21,508.02	Large Cap
GENERAL ELECTRIC COMPANY	GE	B	110	26.28	2,880.22	Large Cap
HEWLETT-PACKARD COMPANY	HPQ	A	101	33.68	3,400.04	Large Cap
JPMORGAN CHASE & CO	JPM	B	187	57.62	10,783.26	Large Cap
MIDDLEBY CORP THE	MIDD	F	60	82.72	4,963.20	Small Cap
NEXTERA ENERGY INC	NEE	C	104	102.48	10,669.77	Large Cap
QUALCOMM INC	QCOM	C	144	79.20	11,378.85	Large Cap
STARWOOD HTLS & RSTS NEW	HOT	C	50	80.82	4,058.76	Small Cap

Account Summary
Account 0000-0006



Current Asset Allocation

Large Cap Equity	30.0%
Small Cap Equity	7.5%
International Equity	1.5%
Fixed Income	2.8%
Cash Investments	58.0%
Other	0.1%
Total†	100%

† Portfolio analysis excludes short positions, margin balances, options, warrants, rights and futures.

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QUARTERLY PORTFOLIO PROFILE Q3 2016
Specially Prepared for Dana Jones from data reported on September 30, 2016

0000-0006 Dana Jones (Continued)

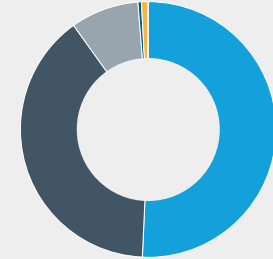
Security	Symbol	Rating	Quantity	Price (\$)	06/30/14 Value (\$)	Asset Class
Schwab Equity Rating as of 06/27/14						
Equities						
TABLEAU SOFTWARE INC	DATA		100	\$71.33	\$7,133.00	Small Cap
Fixed Income						
		S&P	Par Value			
CWMBS INC. 6%36REMIC DUE 04/25/36-06J2-	--	D	10,000	90.40%	\$4,223.37	Fixed Income
Exchange-Traded Funds (ETF)						
		Morningstar Overall				
ISHARES SELECT DIVIDEND ETF	DVY	★★★	76	\$76.98	\$5,865.95	Small Cap
POWERSHS EXCH TRAD FD TRPOWERSHARES DYNAMIC PHARMACEUTICALS PRTFOLIO	PJP	★★★★★	645	61.08	39,407.11	Multi-Asset
Mutual Funds						
		Morningstar Overall				
BLACKROCK REAL ASSET EQ	BCF	★★	1,279	\$9.39	\$12,009.97	Multi-Asset
Total Account Value					\$501,410.23	

Legend: Within the Account Summary section of your QPP, the alert symbol will call your attention to one of three things: for equities with a D or F rated equity, for funds with a 1 or 2 stars Morningstar rated fund, and for fixed income with a bond maturing in the next 90 days. (Option) As of the quarter end, there was an open option position for this security. (Short) As of the quarter end, there was a short position in this security. Denotes a change in fund management during the 3-months ending June 30, 2014. Please refer to the back of this report for important information.
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0000-0007 Dana Jones

Security	Symbol	Rating	Quantity	Price (\$)	06/30/14 Value (\$)	Asset Class
Cash and Cash Investments						
CASH	--		--	--	\$485.00	Cash
Equities						
		Schwab Equity Rating as of 06/27/14				
A G L RESOURCES INC	GAS	B	2,000	\$55.03	\$110,060.00	Small Cap
 A T & T INC NEW	T	F	533	35.36	18,846.88	Large Cap
ACCO BRANDS CORP	ACCO	C	164	6.41	1,051.24	Small Cap
 AMEREN CORPORATION	AEE	D	1,000	40.88	40,880.00	Small Cap
AMERICAN CAPITAL LTD	ACAS	NC	5,198	15.29	79,477.42	Small Cap
AMERICAN INTL GP 21WTSWARRANTS EXP 01/19/21	AIGWS	NC	80	26.60	2,128.00	Other
AMERICAN INTL GROUP NEW	AIG	B	150	54.58	8,187.00	Large Cap
AMKOR TECHNOLOGY INC	AMKR	A	1,000	11.18	11,180.00	Small Cap
ASTRAZENECA PLC ADR FSPONSORED ADR 1 ADR REP 1 ORD	AZN	A	1,700	74.31	126,327.00	International
ATMOS ENERGY CORP	ATO	B	1,200	53.40	64,080.00	Small Cap
BANK OF AMERICA CORP	BAC	C	8,500	15.37	130,645.00	Large Cap
BRISTOL-MYERS SQUIBB CO	BMJ	C	1,500	48.51	72,765.00	Large Cap
CHINA SOLAR & CLEAN ENGY	CSOL	NC	12	0.03	0.38	Other





Account Summary
Account 0000-0007



Current Asset Allocation

Large Cap Equity	50.7%
Small Cap Equity	39.4%
International Equity	8.6%
Fixed Income	0.4%
Cash Investments	0.8%
Other	<0.1%
Total†	100%

† Portfolio analysis excludes short positions, margin balances, options, warrants, rights and futures.

Legend:  Within the Account Summary section of your QPP, the alert symbol will call your attention to one of three things: for equities with a D or F rated equity, for funds with a 1 or 2 stars Morningstar rated fund, and for fixed income with a bond maturing in the next 90 days.  (Option) As of the quarter end, there was an open option position for this security.  (Short) As of the quarter end, there was a short position in this security.  Denotes a change in fund management during the 3-months ending June 30, 2014.

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0000-0007 Dana Jones (Continued)

Security	Symbol	Rating	Quantity	Price (\$)	06/30/14 Value (\$)	Asset Class
Schwab Equity Rating as of 06/27/14						
Equities						
CITIGROUP INC NEW	C	B	800	\$47.10	\$37,680.00	Large Cap
CNINSURE INC ADR FSPONSORED ADR 1 ADR REP 20 ORD	CISG	NC	2,500	6.86	17,150.00	International
COCA COLA COMPANY	KO	C	600	42.36	25,416.00	Large Cap
COMDISCO HLDG CONT RTSRIGHTS EXP CONT MATCONTINGENT DISTR RIGHTS	CDCOR	NC	8,500	0.08	637.50	Other
CONOCOPHILLIPS	COP	A	1,000	85.73	85,730.00	Large Cap
CONSOLIDATED EDISON INC	ED	D	1,000	57.74	57,740.00	Small Cap
D T E ENERGY COMPANY	DTE	C	2,000	77.87	155,740.00	Small Cap
DOW CHEMICAL COMPANY	DOW	B	3,000	51.46	154,380.00	Large Cap
DU PONT E I DE NEMOUR&CO	DD	C	1,000	65.44	65,440.00	Large Cap
DUKE ENERGY CORP NEW	DUK	D	1,500	74.19	111,285.00	Large Cap
FACEBOOK INC CLASS A	FB	C	500	67.29	33,645.00	Large Cap
FANNIE MAE	FNMA	NC	1,000	3.91	3,910.00	Large Cap
FREDDIE MAC VOTING SHS	FMCC	NC	2,000	3.86	7,720.00	Small Cap
GENERAL ELECTRIC COMPANY	GE	B	1,500	26.28	39,420.00	Large Cap
GENERAL MOTORS CL A 16WTWARRANTS EXP 07/10/16CLASS A	GMWA	NC	257	26.61	6,838.77	Other

Legend: Within the Account Summary section of your QPP, the alert symbol will call your attention to one of three things: for equities with a D or F rated equity, for funds with a 1 or 2 stars Morningstar rated fund, and for fixed income with a bond maturing in the next 90 days. (Option) As of the quarter end, there was an open option position for this security. (Short) As of the quarter end, there was a short position in this security. Denotes a change in fund management during the 3-months ending June 30, 2014.

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0000-0007 Dana Jones (Continued)

Security	Symbol	Rating	Quantity	Price (\$)	06/30/14 Value (\$)	Asset Class
Schwab Equity Rating as of 06/27/14						
Equities						
GENERAL MOTORS CL B 19WTWARRANTS EXP 07/10/19CLASS B	GMWB	NC	257	\$18.56	\$4,769.92	Other
GENERAL MOTORS CO	GM	C	284	36.30	10,309.20	Large Cap
GREAT PLAINS ENERGY INC	GXP	C	1,100	26.87	29,557.00	Small Cap
HOME DEPOT INC	HD	C	100	80.96	8,096.00	Large Cap
IMPAC MTG HLDGS INC NEW	IMH	NC	100	4.80	480.00	Small Cap
INTEGRYS ENERGY GROUP	TEG	NR	1,000	71.13	71,130.00	Small Cap
INTEL CORP	INTC	B	1,000	30.90	30,900.00	Large Cap
JPMORGAN CHASE & CO	JPM	B	1,000	57.62	57,620.00	Large Cap
KEDEM PHARMACEUTICALS TRADING SUSPENDED BY THESEC UNTIL 07/01/14	--	NC	100	0.00	0.45	Other
KINDER MORGAN INC	KMI	D	423	36.26	15,337.98	Large Cap
KINDER MORGAN INC 17WTSWARRANTS EXP 05/25/17	KMIWS	NC	640	2.78	1,779.20	Other
LILLY ELI & COMPANY	LLY	C	1,200	62.17	74,604.00	Large Cap
LITHIUM CORP	LTUM	NC	2,000	0.05	96.00	Small Cap
MANITOWOC COMPANY INC	MTW	D	200	32.86	6,572.00	Small Cap
MEADWESTVACO CORPORATION	MWV	D	500	44.26	22,130.00	Small Cap
MERCK & CO INC NEW	MRK	A	1,100	57.85	63,635.00	Large Cap

Legend: Within the Account Summary section of your QPP, the alert symbol will call your attention to one of three things: for equities with a D or F rated equity, for funds with a 1 or 2 stars Morningstar rated fund, and for fixed income with a bond maturing in the next 90 days. (Option) As of the quarter end, there was an open option position for this security. (Short) As of the quarter end, there was a short position in this security. Denotes a change in fund management during the 3-months ending June 30, 2014. Please refer to the back of this report for important information.

0000-0007 Dana Jones (Continued)

Security	Symbol	Rating	Quantity	Price (\$)	06/30/14 Value (\$)	Asset Class
Schwab Equity Rating as of 06/27/14						
Equities						
MGE ENERGY INC	MGEE	B	1,800	\$39.51	\$71,118.00	Small Cap
MOTORS LIQ CO GUC TRUST	MTLQU	NC	67	25.60	1,715.20	Other
OMEGA HLTHCARE INVS INC REIT	OHI	C	3,000	36.86	110,580.00	Small Cap
PENN WEST PETE LTD NEW F	PWE	B	1,800	9.76	17,568.00	International
PFIZER INCORPORATED	PFE	A	3,000	29.68	89,040.00	Large Cap
PHILLIPS 66	PSX	C	600	80.43	48,258.00	Large Cap
PINNACLE WEST CAPITAL CP	PNW	D	1,000	57.84	57,840.00	Small Cap
ROYAL BANK SCOT NEW ADRFSPONSORED ADR 1 ADR REPS 2 ORD	RBS	B	2	11.29	22.58	International
SPECTRA ENERGY CORP	SE	D	1,100	42.48	46,728.00	Large Cap
THE CHARLES SCHWAB CORP	SCHW	NC	500	26.93	13,465.00	Large Cap
U S BANCORP DEL NEW	USB	C	1,600	43.32	69,312.00	Large Cap
UNITIL CORPORATION	UTL	B	1,000	33.83	33,830.00	Small Cap
VECTREN CORP	VVC	A	2,200	42.50	93,500.00	Small Cap
VERIZON COMMUNICATIONS	VZ	NR	1,000	48.93	48,930.00	Large Cap
WELLS FARGO & CO NEW	WFC	B	2,799	52.56	147,115.44	Large Cap
WGL HOLDINGS INC	WGL	D	1,000	43.10	43,100.00	Small Cap

Legend: Within the Account Summary section of your QPP, the alert symbol will call your attention to one of three things: for equities with a D or F rated equity, for funds with a 1 or 2 stars Morningstar rated fund, and for fixed income with a bond maturing in the next 90 days. (Option) As of the quarter end, there was an open option position for this security. (Short) As of the quarter end, there was a short position in this security. Denotes a change in fund management during the 3-months ending June 30, 2014. Please refer to the back of this report for important information.



QUARTERLY PORTFOLIO PROFILE Q3 2016
Specially Prepared for Dana Jones from data reported on September 30, 2016

0000-0007 Dana Jones (Continued)

Security	Symbol	Rating	Quantity	Price (\$)	06/30/14 Value (\$)	Asset Class
Schwab Equity Rating as of 06/27/14						
Equities						
WINDSTREAM HLDGS INC	WIN	D	4,000	\$9.96	\$39,840.00	Small Cap
WORLD WRESTLING ENTMT CLASS A	WWE	D	2,000	11.93	23,860.00	Small Cap
XCEL ENERGY INC	XEL	D	2,000	32.23	64,460.00	Small Cap
YAHOO INC	YHOO	NR	1,000	35.13	35,130.00	Large Cap
ZYNGA INC	ZNGA	D	1,500	3.21	4,815.00	Small Cap
S&P Par Value						
Fixed Income						
FORD MOTOR COMP 7.125%25 DUE 11/15/25	--	BBB-	10,000	123.42%	\$12,342.03	Fixed Income
Morningstar Overall						
Mutual Funds						
MEXICO FUND INC	MXF	★★★	3,615	\$28.28	\$102,235.47	International
SCHWAB CASH RESERVES SWEEP SHARES	SWSXX	--	23,824	1.00	23,823.74	Other
Total Account Value					\$3,064,490.40	

Legend: Within the Account Summary section of your QPP, the alert symbol will call your attention to one of three things: for equities with a D or F rated equity, for funds with a 1 or 2 stars Morningstar rated fund, and for fixed income with a bond maturing in the next 90 days. (Option) As of the quarter end, there was an open option position for this security. (Short) As of the quarter end, there was a short position in this security. Denotes a change in fund management during the 3-months ending June 30, 2014. Please refer to the back of this report for important information.

Important Information about Your Quarterly Portfolio Profile

Introduction

Your Quarterly Portfolio Profile reflects your account positions held at Charles Schwab & Co., Inc., member SIPC ("Schwab") as of the last business day of the quarter reflected. The supplemental material in the report is provided for informational purposes only and should not be considered an individualized recommendation or personalized investment advice. The Quarterly Portfolio Profile should not be substituted for, or considered, your account statement. Please consult your Schwab account statement for information of record regarding your account's balance, security positions and transactions.

Transactions that had not yet settled as of the end of the calendar quarter may not be included in this report. For presentation purposes, Net Portfolio Values listed on the first page of this report are rounded to the nearest whole dollar.

Third-Party Information

Third parties provide certain information in your report. Schwab believes that such third-party information is reliable, but does not guarantee its accuracy, timeliness or completeness, and it is subject to change without notice.

Data providers, such as Standard & Poor's, Inc. ("S&P") and Thomson Reuters may not make information available on all companies. Mutual Fund rating information is provided by Morningstar, Inc. Ratings data for fixed income is provided by Moody's and S&P. Fixed income fundamental and performance data is provided by S&P. The Global Industry Classification Standard ("GICS") was developed by and is the exclusive property of Morgan Stanley Capital International Inc. ("MSCI") and S&P. GICS is a service mark of MSCI and S&P and Schwab has licensed its use.

Market Cap as of 06/30/14:

Large Cap U.S. Stocks have a market capitalization of \$16.910 billion or greater.

Small Cap U.S. Stocks have a market capitalization of less than \$16.910 billion.

Ratings

Schwab Equity Ratings® and Schwab Equity Ratings International™ are based upon a disciplined, systematic approach that evaluates each stock on the basis of a wide variety of investment criteria from four broad categories: Fundamentals, Valuation, Momentum, and Risk. This approach attempts to gauge investor expectations since stock prices tend to move in the same direction as changes in investor expectations. Stocks with low and potentially improving investor expectations tend to receive the best Ratings ("A" or "B" ratings), while stocks with high and potentially falling investor expectations tend to receive the worst Schwab Equity Ratings ("D" or "F" ratings). From time to time, Schwab may update the Schwab Equity Ratings or Schwab Equity Ratings International methodology. For more on the components of Schwab Equity Ratings International, see **Using Schwab Equity Ratings International Component Grades** below.

Schwab Equity Ratings®

Schwab Equity Ratings use a scale of A, B, C, D, and F, and are assigned to approximately 3,000 of the largest (by market capitalization) stocks headquartered in the United States and certain foreign nations where companies typically locate or incorporate for operational or tax reasons. Schwab's research outlook is that A-rated stocks, on average, will strongly outperform, and F-rated stocks, on average, will strongly underperform the equities market during the next 12 months. The explanation and table below are included to assist you in using Schwab Equity Ratings as one component of your own research to evaluate stocks and investment opportunities.

Schwab Equity Ratings International™

Schwab Equity Ratings International™ are assigned to approximately 4,000 stocks traded in approximately 29 foreign equity markets. Stocks are rated relative to other stocks in their headquarters country, which is generally the country where the stock is traded and the company is headquartered and conducts its primary business operations. Some international stocks may trade in countries other than their headquarters country. Stocks are rated using a scale of A, B, C, D and F. Schwab's research outlook is that A-rated stocks, on average, will strongly outperform, and F-rated stocks, on average, will strongly underperform, the average stock in the equities market of the stock's headquarters country over the next 12 months. The explanation and table below are included to assist you in using Schwab Equity Ratings International, as one component of your own research, to evaluate stocks and investment opportunities.

Schwab Equity Ratings Distribution

Schwab Equity Rating	Percentile Ranking Distribution Within Sector	Schwab Equity Rating Distribution Within Sector	12 Month Return Outlook	General Buy/Sell/Hold Guidancet
A	1-5	Top 5%	Strongly Outperform	Buy
B	6-30	Next 25%	Outperform	Buy
C	31-70	Next 40%	Marketperform	Hold
D	71-95	Next 25%	Underperform	Sell
F	96-100	Bottom 5%	Strongly Underperform	Sell

†The general buy/hold/sell guidance is relative to other rated equities only. Before considering whether to take any action, an investor should consider whether equities generally are performing well in comparison to other asset classes and whether other equities in the same sector or category with the same or better rating may be more appropriate.

Schwab Equity Ratings International Distribution

Schwab Equity Rating International	Percentile Ranking Distribution Within Country	Schwab Equity Ratings International Distribution Within Country	12 Month Return Outlook	General Buy/Sell/Hold Guidance*
A	1-5	Top 5%	Strongly Outperform	Buy
B	6-30	Next 25%	Outperform	Buy
C	31-70	Next 40%	Marketperform	Hold
D	71-95	Next 25%	Underperform	Sell
F	96-100	Bottom 5%	Strongly Underperform	Sell

* The general buy/hold/sell guidance is relative to other rated equities only. Before considering whether to take any action, an investor should consider whether international equities generally are performing well in comparison to other asset classes and whether other equities in the same region, country, sector, or style category with the same or better rating may be more appropriate.

International investing involves special risks such as currency fluctuation and political instability to be considered prior to making an investment decision. Companies whose stock trades on foreign exchanges and markets are not subject to the same accounting, regulatory, and reporting requirements as stock that trade on U.S. exchanges. Investing in emerging markets may accentuate these risks.

This material is for informational purposes only and is neither an offer to sell nor the solicitation of an offer to buy in the U.S. or in any foreign jurisdiction. Additionally, Schwab Equity Ratings, Schwab Equity Ratings International, Percentile Rankings, and the general guidance are not personal recommendations for any particular investor or client and do not take into account the financial, investment or other objectives or needs of, and may not be suitable for, any particular investor or client. Before buying, investors and clients should consider whether the investment is suitable for themselves and their portfolio. Investors and clients should consider Schwab Equity Ratings or Schwab Equity Ratings International as only a single factor in making their investment decision while taking into account the current market environment. Accordingly, Charles Schwab & Co., Inc. ("Schwab") does not assess the suitability (or the potential value) of any particular investment. Schwab also does not provide tax advice and the views in the material do not take into account any client's or investor's tax situation. Clients and investors should consult their tax advisors before investing.

Schwab Equity Ratings and Schwab Equity Ratings International are generally updated weekly, so you should review and consider any recent market or company news before taking any action.

Schwab investment advisory affiliates may also receive research information from Schwab Center for Financial Research (SCFR) that is not included in the Schwab Equity Ratings International universe or is furnished on a basis to allow pooled investment funds to manage their portfolios as required under their investment objectives and strategies.

Stocks may go down as well as up, and investors (including clients) may lose money, including their original investment. Past history is no indication of future performance and returns are not guaranteed. For questions, please call Schwab using the number on the first page of this report.

Important Information about Your Quarterly Portfolio Profile

Descriptions for Non-Rated Stocks

Category	Definition	Description
NR	Not Rated	Schwab has decided not to publish a rating on this stock due to unusual or extraordinary business circumstances related to this company.
NA	Not Available	A Schwab Equity Rating or Schwab Equity Ratings International grade is not available on this stock due to insufficient information about the stock.
NC	Not Covered	This stock is not currently in the Schwab Equity Rating or Schwab Equity Ratings International universe or is prohibited from coverage for regulatory reasons.
*	Check News	Check current news because a potentially significant event has been announced for an A or B rated stock. Although Schwab generally does not monitor C, D, and F rated stocks for current news, from time to time, asterisks may appear on a particular stock due to certain circumstances (e.g. the downgrade of a stock from a B to a C-rating). In any event, you should always check news on a stock before placing a trade.

Explanation of Grades: Schwab Equity Ratings and Schwab Equity Ratings International

- A (Strongly Outperform): If an investor is looking to add a stock to his or her portfolio, "A" rated stocks may be the best candidates for consideration.
- B (Outperform): An investor looking to add a stock to his or her portfolio should also consider a "B" rated stock, though preference should be given to "A" rated stocks.
- C (Marketperform): An investor would not usually consider a "C" rated stock for purchase. An investor that has a "C" rated stock in his or her portfolio should consider continuing to hold the stock, and might monitor the stock's ongoing performance and compare the potential benefits of owning a stock with higher ratings.
- D (Underperform): An investor holding a "D" rated stock should consider whether it is appropriate to continue to hold that stock in his or her portfolio. An investor would not usually consider a "D" rated stock for purchase.
- F (Strongly Underperform): An investor holding an "F" rated stock should consider whether it is appropriate to eliminate that stock from his or her portfolio. An investor would not usually consider an "F" rated stock for purchase.

S&P Opinion

The Standard & Poor's STAR (Stock Appreciation Ranking System) rating provides S&P's recommendations for action (e.g. buy, hold, or sell), based on their opinion of a stock's potential to outperform the S&P 500® Index over the next six to twelve months. Rankings are as follows:

★★★★★	Buy	Expected to be among the best performers of the S&P 500 stocks over the next 12 months.
★★★★	Accumulate	Expected to be an above average performer.
★★★	Hold	Expected to be an average performer.
★★	Avoid	Likely to be a below average performer.
★	Sell	Expected to be a well below average performer and to fall in price.

Thomson Reuters Analyst Consensus:

Averages the opinions of third-party analysts tracked by Thomson Reuters who follow the company. Five boxes are listed, with degrees of opinion moving out from the center "Hold" box. From left to right, the boxes represent: Sell (far left), Underperform (2nd from left), Hold (center), Outperform (2nd from right), Buy (far right). The number of analysts with opinions on the stock is listed with the appropriate number in parentheses. Analyst consensus ratings in the QPP report are as of the calendar quarter end. Thomson Reuters updates analyst consensus on a daily basis. For the most recent consensus rating, please refer to the Schwab Earnings Report on the Schwab website.

Morningstar Ratings ©2015 Morningstar Inc.

The Morningstar star ratings evaluate how well a mutual fund or ETF has performed compared to other funds in the same broad investment class. (The investment classes are domestic stock, international stock, taxable bond and municipal bond). The Morningstar ratings take into account the fund's historical return, with an adjustment for the fund's historical risk. Funds are rated from 5 stars (highest) to 1 star (lowest). Funds with higher star ratings generally have higher historical risk-adjusted returns.

Overall: In determining the overall rating, the 3-, 5-, and 10-year time periods are combined as a weighted average, with longer time periods weighted more heavily. If the fund scores in the top 10%, it receives 5 stars (highest); the next 22.5%, it receives 4 stars (above average); the middle 35%, 3 stars (neutral or average); the next 22%, 2 stars (below

average); and the bottom 10%, 1 star (lowest). Ratings are recalculated monthly.

Historical Risk: Evaluates the weighted average of a fund's downside volatility (i.e., how often it has underperformed Treasury bills) relative to that of other funds in its broad investment category. To calculate risk, Morningstar adds up the amounts by which the fund trails the T-bill return each month and divides that sum by the number of months in the rating period.

Allocations to Schwab asset classes (i.e. Large Cap, Small Cap, Intl Stocks, Bonds, Cash, and Other) for all mutual funds and ETFs are based on rescaled long-only allocation percentages. Long rescaled allocations ignore short positions so that there are no negative positions in any asset class, and are rescaled so that the sum of all asset classes equals 100%. The asset allocations assigned to a mutual fund or ETF may be further adjusted to ignore non-strategic asset allocations such as transitional cash in a stock fund.

S&P Fixed Income Rating Explanation

AAA	Highest quality	B	Speculative
AA	High quality	CCC, CC	Default possible
A	Upper medium grade	C	Default, partial recovery possible
BBB	Medium grade	D	Default, recovery not likely
BB	Speculative elements	NA	Not Applicable

S&P may modify ratings from AA to CCC by the addition of a plus (+) or minus (-) to show relative standing within the major rating category. Schwab groups US Government bonds and AAA rated bonds together under the credit quality heading "Govt/AAA".

Moody's Fixed Income Rating Explained

Aaa	Highest quality	Caa	Default possible
Aa	High quality	Ca	Default, partial recovery possible
A	Upper medium grade	C	Default, recovery not likely
Baa	Medium grade	NR	Not rated
Ba	Speculative elements	NA	Not Applicable
B	Speculative		
MIG	Short-term obligation, Moody's Investment Grade (1-3, where 1 denotes best quality, 2 denotes high quality, and 3 denotes favorable quality)		
SG	Short-term obligation, speculative quality		

Moody's applies numerical modifiers 1, 2, and 3 in each generic category rating classification from Aa to B. The modifier 1 indicates that the issue ranks in the higher end of its generic rating category; the modifier 2 indicates a mid-range ranking; and the modifier 3 indicates that the issue ranks in the lower end of its generic category.

Benchmark Data

Performance of indices is provided in your report for comparison purposes. Indexes are unmanaged, do not incur management fees, costs and expenses (or transaction fees or other related expenses) and cannot be invested in directly. Past performance of any index should not be considered indication of future return. The indexes included are:

- The S&P 500® Index for large-capitalization stocks and/or funds. The S&P 500 includes common stocks of 500 widely held companies. S&P 500 is a registered mark of The McGraw Hill Co., Inc.
- Russell 2000® Index for small-capitalization stocks and/or funds. This index consists of the 2,000 smallest companies in the Russell 3000® Index, which represents approximately 98% of the total market value of publicly available domestic equities.
- The Morgan Stanley Capital International Europe, Australasia, Far East (MSCI EAFE) Index is an index of foreign stocks returns of selected exchanges in these markets. Its total return is calculated in U.S. dollars.
- Barclays Capital U.S. Aggregate Bond Index: Includes fixed rate debt issues rated investment grade or higher by Moody's Investors Service, Standard and Poor's, or Fitch Investor's Service, in that order. All issues must have at least 1 year left to maturity and have an outstanding par value of at least \$250 million. The Aggregate Index is comprised of the Government/Credit, the Mortgage-Backed Securities, the Commercial Mortgage-Backed Securities and the Asset-Backed Securities indices.
- Barclays Capital U.S. Municipal Bond Index: A broad-based, total return index for the long-term tax-exempt bond market. The Index has four main sectors: state and local general obligation bonds, revenue bonds, insured bonds, and pre-refunded bonds. The bonds are all investment-grade, fixed-rate, long-term maturities (greater than one

Important Information about Your Quarterly Portfolio Profile

year) and are selected from issues larger than \$75 million dated since January 1991. Bonds are added to the Index and value-weighted and updated at month-end.

- The S&P Global Broad Market (BMI) index includes a combination of developed and emerging markets, comprised of the S&P Developed BMI and S&P Emerging BMI, which covers 46 countries in total. The index has a liquidity threshold where stocks listed in the index must have at least \$100 million in free float market capitalization for the 12 months prior to the annual reconstitution. The index can be decomposed into its large-, mid-, and small-cap components and adopts the GICS classification for sectors. Sectors for the country, region, and composite level are also calculated.

Performance and Growth Information

Returns are adjusted for management, administrative and 12b-1 fees and other costs automatically deducted from fund assets. Total returns are also adjusted for sales loads and assume the reinvestment of capital gains and dividends. **Past performance is no guarantee of future results.**

Information on Investments

- **Bonds:** Fixed income securities are subject to increased loss of principal during periods of rising interest rates. Fixed-income investments are subject to various other risks including changes in credit quality, market valuations, liquidity, prepayments, early redemption, corporate events, tax ramifications and other factors. Income on tax-free bonds may be subject to the Alternative Minimum Tax and capital appreciation from discounted bonds may be subject to state or local taxes. Capital gains are not exempt from federal income tax. Government bond fund shares are not guaranteed. Their price and investment return will fluctuate with market conditions and interest rates. Shares, when redeemed, may be worth more or less than their original cost.
- **High Yield Bond Fund:** Investments in high yield bond funds are subject to greater risk of loss of principal and interest than other bond funds. The lower rated securities in which these funds invest are subject to greater credit risk, default risk and liquidity risk. Purchasers should carefully assess the risks associated with an investment in this fund.
- **International:** International investments involve additional risks, which include differences in financial accounting standards, currency fluctuations, political instability, foreign taxes and regulations, and the potential for illiquid markets. Investing in emerging markets may accentuate these risks.
- **Sector Fund:** Investment concentration in certain sectors is subject to greater volatility and therefore a greater degree of risk than portfolios that are more diversified across sectors.
- **Small Cap:** Small cap investments are subject to greater volatility than investments in other asset categories.
- **Mutual Fund including Money Market Funds:** Managers may occasionally waive all or a portion of fund fees at any given period. Waived fees would have the effect of increasing the fund's reported performance and may not necessarily recur; review any prospectus for details.

Principal value and investment return will fluctuate with changes in market conditions so an investor's shares, when redeemed, may be worth more or less than their original cost.

Schwab's short-term redemption fee of \$49.95 will be charged on redemption of funds purchased through Schwab's Mutual Fund OneSource® service (and certain other funds with no transaction fee) and held for 90 days or less. Schwab reserves the right to exempt certain funds from this fee, including Schwab Funds®, which may charge a separate redemption fee, and funds that accommodate short-term trading. Funds are also subject to management fees and expenses.

- **Schwab Money Market Fund:** An investment in the Fund is not insured or guaranteed by the Federal Deposit Insurance Corporation or any other government agency. Although the Fund seeks to preserve the value of your investment at \$1.00 per share, it is possible to lose money by investing in a money market fund.

Additional Information

For additional details about your Quarterly Portfolio Profile, please consult the User Guide available on Schwab.com or please call the phone number on page 2 of this report.

- Go to www.schwab.com
- Choose Portfolio Performance >> Quarterly Portfolio Profile
- Click on "Modify your QPP Subscription" >> Cancel
- Select "User Guide for QPP"