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Letter of Authorization (LOA)

For Movement of Assets to Brokerage Accounts Pledged to Charles Schwab Bank

www.schwab.com | 1-800-838-6573

This form authorizes Charles Schwab & Co., Inc. ("Schwab") to move assets you are pledging as collateral from your Schwab brokerage account to your Pledged Asset Account, which is the brokerage account you have pledged to Charles Schwab Bank ("Schwab Bank"). This form should only be used if you have applied for Schwab Bank's Pledged Asset Line®.

1. Account Information

A. All applicants.

Please list the names of all account holders of the Schwab brokerage account: ______________________________

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B. For Pledged Asset Line applicants only.

I request that assets be transferred to the following Pledged Asset Account:

Pledged Asset Account number								

from the following Schwab brokerage account:

Schwab brokerage account number								

2. Asset Transfer Instructions

Please select your instructions from the following options:

☐ Transfer all eligible assets in the account (entire cash balance and all security positions).

(Please note: Securities that do not meet our eligibility requirements will not be transferred.)

☐ Transfer the cash amount of: $ ______________________________.

☐ Transfer the following securities:

Name of security	Symbol or CUSIP	Number of shares

(Please list additional securities on a separate page.)

3. Please Read and Sign

I (each of the undersigned) authorize Schwab to implement the transfer instructions as detailed above. I understand that Schwab will not process this request if the cash and securities listed above are not available in the account. I certify that the information provided on this form is correct, and that Schwab may rely on my certification without further investigation or inquiry.

All account holders must sign. Please sign in blue or black ink only.

Signature(s) and Date(s) Required

X
Account Holder/Trustee Signature | Print Name | Date

X
Additional Account Holder/Co-Trustee Signature | Print Name | Date

X
Additional Account Holder/Co-Trustee Signature | Print Name | Date

Investment Advisors may sign only if the account holder has completed an Investment Advisor Authorization form.

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