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SCHWAB

Executive Leadership Program

Own your tomorrow.

“Great leaders leave a lasting impression on everyone around them.”

—Bernie Clark

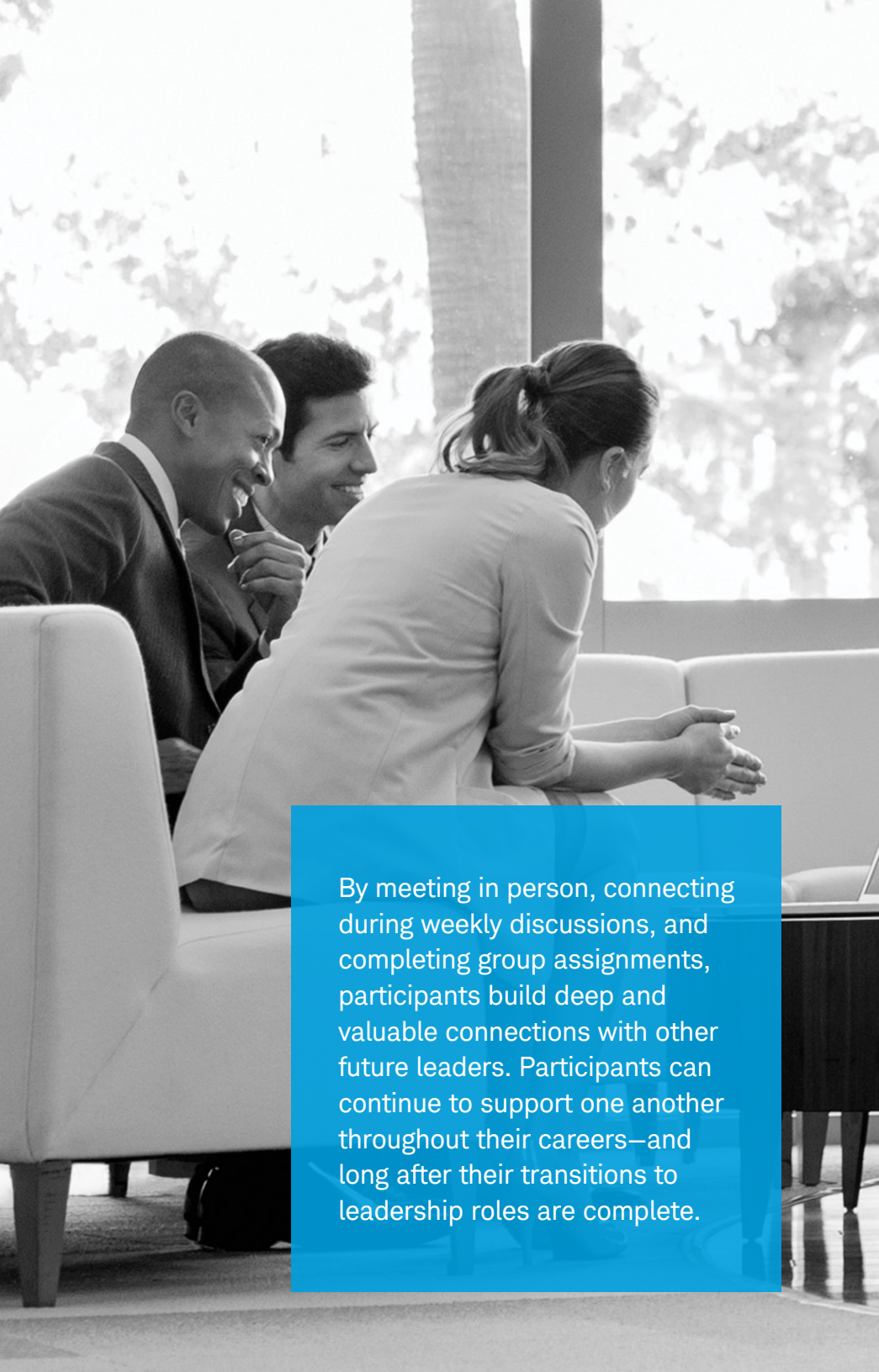
Managing Director, Head of Advisor Services

Preparing the Next Generation of RIA Leaders

It takes leadership, inspiration, and entrepreneurship to lead a registered investment advisory (RIA) firm. Future leaders must assume increased responsibility for the firm and carry the values and vision forward, all while navigating an increasingly complex and competitive landscape. The ability to meet these demands is built over a lifetime—with the help of mentors, teachers, and dedicated study—and honed through experience.

The Schwab Executive Leadership Program lays the groundwork for success. Specifically designed to prepare the next generation of leaders at RIA firms, this exclusive one-year program combines premier academic curricula and faculty from some of the nation’s top graduate schools—Michigan’s Ross School of Business, Wharton, Harvard, and MIT—with the deep industry experience of Charles Schwab.

Emphasizing relationship building and real-world application, the Schwab Executive Leadership Program equips the best and the brightest to transition more successfully and manage firms confidently and effectively.



By meeting in person, connecting during weekly discussions, and completing group assignments, participants build deep and valuable connections with other future leaders. Participants can continue to support one another throughout their careers—and long after their transitions to leadership roles are complete.

A Year of Personal and Professional Growth

Premier Curriculum

Informed by Schwab's experience with top advisory firms and backed by critical insights from faculty at the nation's leading graduate schools, the program combines the rigor and thought leadership of top-tier institutions with real-world relevance for RIA firms. The curriculum focuses on the key issues that can affect an RIA firm's success and how to manage them effectively.

Time Commitment

Over 12 months, participants complete five 10-week courses. During the first half of each course, participants spend approximately three hours per week on lessons and live classes. The remaining half of the course is unstructured, providing participants with the opportunity to put what they have learned into practice and receive coaching.

Experience

Each course includes high-quality video-based lessons featuring academic experts from leading institutions, as well as live instruction, peer interaction and discussion, and coaching. Online portions of the program are delivered via an intuitive, easy-to-use social learning platform that can be accessed through any computer, tablet, or smartphone.

Program year at-a-glance

Courses in the Executive Leadership Program leverage premier academic curricula and faculty from top graduate schools, including, University of Michigan, Penn State University, Wharton, Harvard, and University of Virginia.



In-Person Learning

- Connect with program participants

Formal Learning

- Video and online lessons
- Weekly 1-hour live class
- Breakout group discussions

Informal Learning

- Practical application of concepts
- Leadership discussions
- 1-on-1 coaching

Candidate Requirements

In the Schwab Executive Leadership Program, promising leaders learn together and from each other. Candidate requirements include:

- Recognition as an emerging leader from the firm's management team and current participation in broader firm decision-making
- Direct report management responsibility
- Willingness to dedicate the time and effort necessary to complete all course work and actively participate in discussions
- Strong motivation for personal growth and development
- Commitment to meeting the personal and professional demands of leadership
- Support from the firm's current leadership team

Admissions

Learn more about participation qualifications, program dates, and tuition at event.schwab.com. Each program cohort has limited space available, with firms restricted to nominating one applicant per cohort. This enables every individual to form unique and lasting relationships with a diverse group of professionals from other firms. Firms that have identified additional potential leaders are encouraged to nominate those individuals in future years.

Applicants must complete an online application and include payment information. Tuition for the program is paid upon acceptance. As applications are reviewed, qualified candidates are accepted on a space-available basis. Selected participants will be notified via email. *Early application is encouraged.*



Contact

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Experiences reflected are not a guarantee of future performance or success and may not be representative of your experience. Program curriculum, faculty, tuition, dates, and other criteria are subject to change.

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