



Opening your international Charles Schwab stock plan account.

Online process takes approximately 10 minutes.

Through Charles Schwab, you can open and manage your account online by following the detailed instructions below.

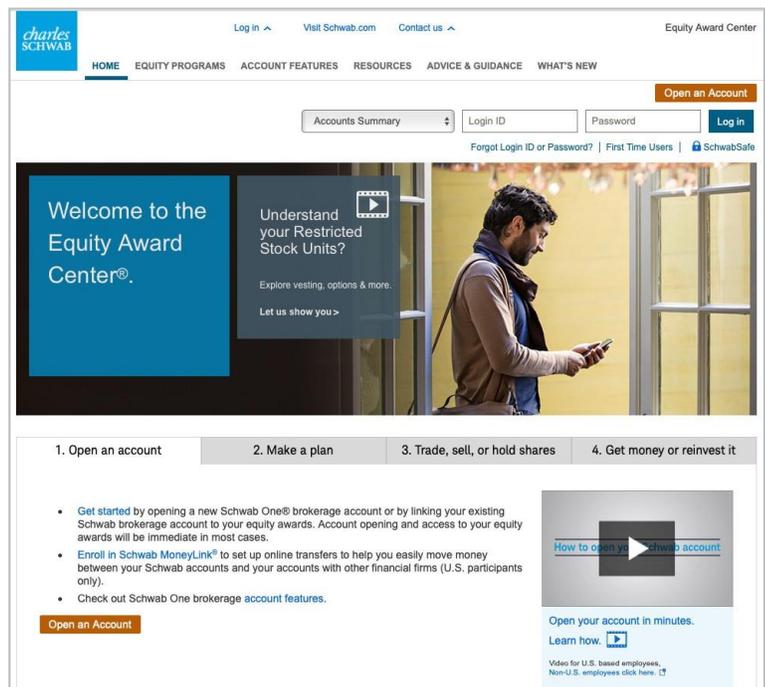
Getting started

To complete the online opening process for your account, you'll need your Employee Identification Number.

Go to www.schwab.com/facebook

How to start

To open your Schwab account, visit the Schwab Equity Award Center® and click the **Open an Account** button.



Screenshot is for illustrative purposes only.

Please note: Your experience opening an account online may vary slightly from what is presented in this guide. You may see a screen that is not represented in this document. Simply follow the detailed directions on that page to proceed.

Eligible account types: Individual Schwab One® or brokerage accounts may be used to exercise your employee stock options. Joint Schwab One or brokerage accounts may be used by U.S. employees to exercise employee stock options. Account types that cannot be used to exercise your employee stock options include, but are not limited to, Individual Retirement Accounts (IRAs); Personal Choice Retirement Accounts (PCRAs); or partnership, limited liability corporation (LLC), custodial, corporate, or 401(k) accounts. If you are unsure of your account eligibility, please contact a Schwab Participant Services Representative at 1-800-724-7256.

- At the first “Open an Account” page, enter your company’s stock trading symbol. This is an abbreviation that identifies your company for stock trades on the U.S. stock exchanges. The trading symbol is not case-sensitive.
- Select your Country of Residency from the dropdown list. The default is United States, please change it if it doesn’t apply to you.
- Select your Primary Country of Citizenship. This is usually the country where you were born, though some people change their citizenship or maintain dual citizenship in a country where they were born and in a secondary country where they currently reside. The default is United States, please change it if it doesn’t apply to you.

**Please link [here](#) for instructions if you are a U.S. citizen residing either inside or outside of the U.S.

- Select your Secondary Country of Citizenship, if applicable.
- Click the **Next** button to move to the next screen or click the **Cancel** button to exit the application process.

The screenshot shows the 'Open an Account' page for Schwab One Individual Brokerage. At the top, there is a Charles Schwab logo and navigation links for Live Chat, 800-654-2593, and Log In. Below the logo, there are language options: English, 中文, 日本語, Español, Français, and Deutsch. The main heading is 'Schwab One Individual Brokerage'. The page contains several paragraphs of text providing instructions and contact information. The form fields are: 'Company Ticker Symbol' (text input), 'Country of Residence' (dropdown menu with 'United Kingdom' selected), 'Primary Citizenship' (dropdown menu with 'United Kingdom' selected), and 'Secondary Citizenship (Optional)' (dropdown menu with 'Select' selected). At the bottom, there are 'Cancel' and 'Next' buttons.

Screenshot is for illustrative purposes only.

Note that the account opening system will allow you to make up to three attempts to validate your information. If you exceed the three attempts, the system will prevent you from making further attempts. You can reset your attempts to zero by contacting Schwab Stock Plan Services or by deleting the cookies saved to your Internet browser. If these options fail, you may complete a paper application. Schwab must receive original paper applications for non-U.S. employees. You may start the account opening process via fax; however, Schwab must receive the original paper application in order for non-U.S. employees to avoid account restrictions.

Get started

Holder information—primary account holder

In the appropriate spaces, enter your first and last name, Employee ID and date of birth.

Enter your personal information exactly as it appears in your company’s records or opening your account will require additional processing. Usually, the way your information appears on your paycheck is what you should use in these fields. For your protection, the information you provide will be matched to your company’s records to ensure accuracy and/or to confirm your identity.

Please read the “Helpful hints” below.

Click the **Next** button to move to the next screen. Or click the **Back** button to exit the application process.

Helpful hints

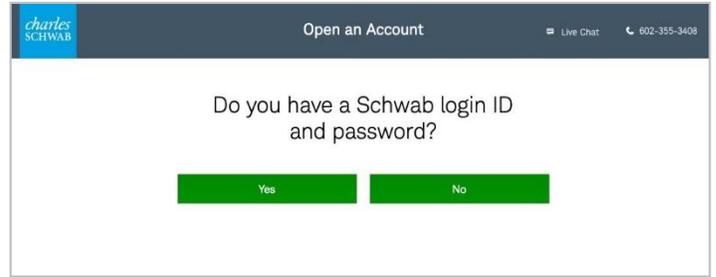
- Your first and last names need to be entered exactly as shown in your company’s records exactly, including hyphens, spacing, etc.
- If either your middle name or middle initial (including punctuation) appears in the human resources system, it should be added to the “First Name” field.
- If you don’t know your Employee ID, you can find it on your paycheck or in your company’s payroll system. It might also appear on your listing in your company’s employee directory. Make sure all of your information matches your employer records exactly. If your information doesn’t match your employer records exactly, you will receive a message indicating that it may take approximately 48 hours to link your newly established account to your equity awards and delay your access to the Equity Award Center for trading.
- You may be asked to verify your date of birth or date of hire.

The screenshot shows the Charles Schwab 'Open an Account' interface. At the top, there is a navigation bar with the Charles Schwab logo, the title 'Open an Account', and links for 'Live Chat', '800-654-2593', and 'Log In'. Below the navigation bar, there are four tabs: 'Personal Information' (which is active), 'Account Features', 'Terms and Conditions', and 'Next Steps'. The main content area is titled 'Primary account holder information'. It contains several input fields: 'First Name' and 'Last Name' (two separate text boxes), 'Employee ID' (one text box), and 'Date of Birth' (three separate boxes for 'Day', 'Month', and 'Year'). The 'Day' box contains 'DD', the 'Month' box contains a dropdown menu with 'Select' and a downward arrow, and the 'Year' box contains 'YYYY'. At the bottom of the form, there are two buttons: a grey 'Back' button and a green 'Next' button.

Screenshot is for illustrative purposes only.

Already have a Schwab account?

If you already have an eligible Schwab account and want to use it for your equity, select “Yes” and login to your existing account, then complete steps to link it to the Equity Award Center. If you don’t have an existing account, select “No” to proceed with opening your new account.



Screenshot is for illustrative purposes only.

Personal profile

Account holder information

Please complete the fields below to create your personal profile. All fields are required. Previously entered information, such as your name, will populate automatically.

Email address

For future communications with Schwab, you may use your work email address or a personal email address. Enter your preference here.

U.S. Tax Identification number

If you entered United States as citizenship, you will see a prompt for U.S. Tax Identification number.

If you do not have a U.S. Tax Identification number, please leave this field blank.

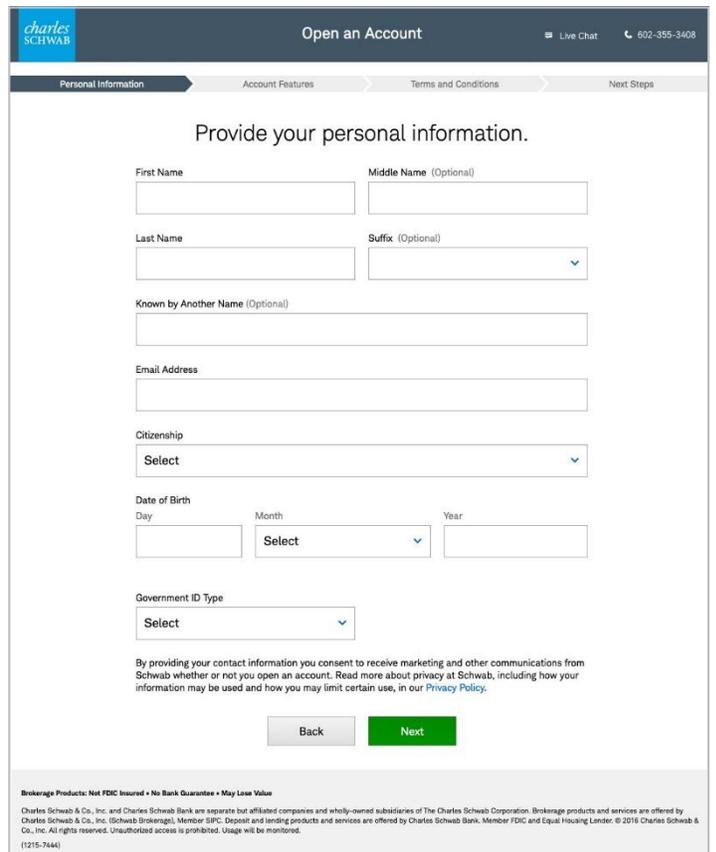
Date of birth

Confirm your date of birth using the appropriate format (DD/Month/YYYY).

Government Identification type

Choose your passport or another form of government ID.

When finished, click **Next**.



Screenshot is for illustrative purposes only.

Login ID and password

Please complete the fields to create your login ID and password. All fields are required.

Please keep this information for your records; if you need a password reset, you may reach Schwab Stock Plan Services directly by navigating to www.schwab.com/nonusdial and select the country from which you are calling to receive a toll-free international number.

Note that some web browsers may auto-fill the Login ID field with a login id or other saved information. In order to avoid your information being incorrect, be certain to clear the field then key in a new login id

The screenshot shows the 'Open an Account' page with the 'Personal Information' step selected. The main heading is 'Create a login ID and password for your Schwab account.' Below this, a note states: 'Once entered here, your login ID and password can only be changed after your account is open.' The form includes the following fields: 'Login ID' (with a note 'At least 6 characters'), 'Password' (with notes 'At least 8 characters', 'At least 1 number', and 'At least 1 letter'), 'Confirm Password', 'Security Question' (a dropdown menu with 'Select' chosen), and 'Answer'. At the bottom are 'Back' and 'Next' buttons. A disclaimer at the bottom reads: 'Brokerage Products: Not FDIC Insured • No Bank Guarantee • May Lose Value' and provides contact information for Charles Schwab & Co., Inc.

Legal address and phone number

Provide your address and contact information, then continue to the next page of the application.

Under Country/Territory, select your country from the dropdown list.

After you enter country code, do not use leading zeros in your phone number.

Your mobile number must be 10 digits in length in order to receive the 2 factor authentication code. If your mobile number has more or less than 10 digits, opt to receive the code by email or call Schwab directly to obtain the code at **1-877-546-0370** toll-free.

The screenshot shows the 'Open an Account' page with the 'Personal Information' step selected. The main heading is 'Provide your legal address and phone number.' Below this, a note states: 'Your legal address cannot be a P.O. Box or contain care of instructions.' The form includes the following fields: 'Street Address 3 (Optional)', 'City', 'Postal Code', 'Country/Territory' (a dropdown menu with 'Select' chosen), 'Phone Number Type' (a dropdown menu with 'Mobile' chosen), 'Country Code', and 'Mobile Phone Number'. There is also an optional field for 'Add another phone number (optional)'. At the bottom are 'Back' and 'Next' buttons. A disclaimer at the bottom reads: 'Brokerage Products: Not FDIC Insured • No Bank Guarantee • May Lose Value' and provides contact information for Charles Schwab & Co., Inc.

Employment information

Enter your employment information and answer the questions at the bottom of the screen.

***Note:** For the Employer Address fields, you must provide the address where you physically work. Do not provide your company's corporate headquarters address if you do not work there.**

Employment status

If you are currently working, enter "Employed."
Select from these options:

- Employed
- Self-employed
- Retired
- Unemployed
- Homemaker
- Student

Then, complete the sections for annual income and liquid net worth in USD.

When finished, click **Next**.

The screenshot shows the 'Open an Account' form on the Charles Schwab website. The current step is 'Provide your employment and financial details.' The form includes the following fields:

- Employment Status (dropdown menu)
- Occupation (dropdown menu)
- Employer Name (text input)
- Employer Address Country/Territory (dropdown menu)
- Employer Street Address (text input, note: No P.O. boxes or C/D)
- Employer Street Address 2 (Optional) (text input)
- Employer Street Address 3 (Optional) (text input)
- City (text input)
- Postal Code (text input)
- Annual Income USD nearest thousands (text input with '\$' symbol)
- Liquid Net Worth USD nearest thousands (text input with '\$' symbol)

At the bottom of the form are 'Back' and 'Next' buttons. Below the form is a disclaimer: 'Brokerage Products: Not FDIC Insured • No Bank Guarantee • May Lose Value' and 'Charles Schwab & Co., Inc. and Charles Schwab Bank are separate but affiliated companies and wholly-owned subsidiaries of The Charles Schwab Corporation. Brokerage products and services are offered by Charles Schwab & Co., Inc. (Schwab Brokerage), Member SIPC. Deposit and lending products and services are offered by Charles Schwab Bank, Member FDIC and Equal Housing Lender. © 2016 Charles Schwab & Co., Inc. All rights reserved. Unauthorized access is prohibited. Usage will be monitored. (1215-7444)

Occupation choices are:

- Business Owner/Self-Employed
- Executive/Senior Management
- Medical Professional
- Legal Professional
- Accounting Professional
- Financial Services/Banking Professional
- Information Technology Professional**
- Other Professional
- U.S. Government Employee (Federal/State/Local)
- Foreign Government Employee (Non-U.S.)
- Military
- Educator
- Clerical/Administrative Services
- Trade/Service Career (Labor/Manufacturing/Production)
- Sales/Marketing
- Consultant
- Other

Screenshot is for illustrative purposes only.

Provide other necessary information and click **Next**.

The screenshot shows the 'Open an Account' process on the Charles Schwab website. The current step is 'Provide additional details'. The page has a dark header with the Charles Schwab logo, 'Open an Account' title, and links for 'Live Chat', '602-355-3408', and 'Log Out'. Below the header is a progress bar with four steps: 'Personal Information', 'Account Features', 'Terms and Conditions', and 'Next Steps'. The main content area contains three questions with radio button options:

- Are you a member of the board of directors, 10% shareholder, or policy-making officer of a publicly held company?
 Yes
 No
- Are you, or anyone with a beneficial interest in this account, employed by or associated with any of the following?
 - Registered broker-dealer
 - FINRA
 - Stock exchangeMost people do not need to answer "Yes". Not sure? [Let us help.](#)
 Yes
 No
- Would you like to add a Trusted Contact Person? [Learn more](#)
 Yes
 No, I may add them later.

At the bottom of the form are 'Back' and 'Next' buttons. A footer contains the text: 'Brokerage Products: Not FDIC Insured • No Bank Guarantee • May Lose Value' and a disclaimer: 'Charles Schwab & Co., Inc. and Charles Schwab Bank are separate but affiliated companies and wholly-owned subsidiaries of The Charles Schwab Corporation. Brokerage products and services are offered by Charles Schwab & Co., Inc. (Schwab Brokerage), Member SIPC. Deposit and lending products and services are offered by Charles Schwab Bank. Member FDIC and Equal Housing Lender. © 2016 Charles Schwab & Co., Inc. All rights reserved. Unauthorized access is prohibited. Usage will be monitored. (1215-7444)'

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Select the limited purpose account if you will be only transacting on your equity compensation awards.

The account may be updated later in certain countries.

The screenshot shows the 'Open an Account' process on the Charles Schwab website. The current step is 'Select the right account for you'. The page has a dark header with the Charles Schwab logo, 'Open an Account' title, and links for 'Live Chat', '602-355-3408', and 'Log Out'. Below the header is a progress bar with four steps: 'Personal Information', 'Account Features', 'Terms and Conditions', and 'Next Steps'. The main content area contains two radio button options:

- Open a fully featured Schwab One International® account to trade U.S. stocks, bonds, offshore mutual funds and more. Here's what you'll need:
 - A clear photocopy of the personal information section (with photo) and signature page of a current passport
 - One utility bill dated within the last six months
 - A minimum of US\$25,000 is required to open a fully featured brokerage account, as a Stock Plan participant, unvested equity awards apply to that minimum
- Open a limited purpose account, which would strictly be utilized to transact on your equity compensation awards.

At the bottom of the form are 'Back' and 'Next' buttons. A footer contains the text: 'Brokerage Products: Not FDIC Insured • No Bank Guarantee • May Lose Value' and a disclaimer: 'Charles Schwab & Co., Inc. and Charles Schwab Bank are separate but affiliated companies and wholly-owned subsidiaries of The Charles Schwab Corporation. Brokerage products and services are offered by Charles Schwab & Co., Inc. (Schwab Brokerage), Member SIPC. Deposit and lending products and services are offered by Charles Schwab Bank. Member FDIC and Equal Housing Lender. © 2016 Charles Schwab & Co., Inc. All rights reserved. Unauthorized access is prohibited. Usage will be monitored. (1215-7444)'

A check in the box will agree to receive the document electronically.

When finished, click **Next**.

The screenshot shows the 'Open an Account' process on the Charles Schwab website, specifically the 'Account Features' step. The page title is 'Open an Account' with a Charles Schwab logo on the left and navigation links for 'Live Chat', '602-355-3408', and 'Log Out' on the right. Below the title, there are four tabs: 'Personal Information', 'Account Features' (which is active), 'Terms and Conditions', and 'Next Steps'. The main heading is 'Select the optional features you'd like to add.' Underneath, there is a section titled 'Paperless Features' with a sub-heading 'Paperless Features'. The text explains that users will receive printed copies of all documents by mail, but they can opt to receive documents electronically at the email address provided. It also mentions that a valid email address and access to a PDF reader are required, and provides a link to get Adobe Reader. There are four checkboxes, all of which are checked: 'Statements & Account Documents', 'Trade Confirmations', 'Shareholder Materials', and 'Tax Forms'. At the bottom of the form, there are two buttons: 'Back' and 'Next'. A small disclaimer at the bottom of the page reads: 'Brokerage Products: Not FDIC Insured • No Bank Guarantee • May Lose Value. Charles Schwab & Co., Inc. and Charles Schwab Bank are separate but affiliated companies and wholly-owned subsidiaries of The Charles Schwab Corporation. Brokerage products and services are offered by Charles Schwab & Co., Inc. (Schwab Brokerage), Member SIPC. Deposit and lending products and services are offered by Charles Schwab Bank, Member FDIC and Equal Housing Lender. © 2016 Charles Schwab & Co., Inc. All rights reserved. Unauthorized access is prohibited. Usage will be monitored. (1215-7444)'

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Account verification

Consent

Review all terms and conditions on this screen. They will also be available to view later in your account on www.schwab.com.

Check the highlighted box to acknowledge and agree with the listed information. You can download and print the documents on this screen for future reference. If you click on the embedded links, they will open in a new window and you have the option of viewing them in PDF then printing.

Check all boxes to continue with the process:

- You acknowledge the use of electronic records and signatures to open your account.
- You also acknowledge that you have chosen to have some or all of your account documents delivered electronically.
- You acknowledge the important information relating to the Special Attention for U.S. Address guidelines.

I Consent—by checking the box, you consent.

When finished, click **Submit**.

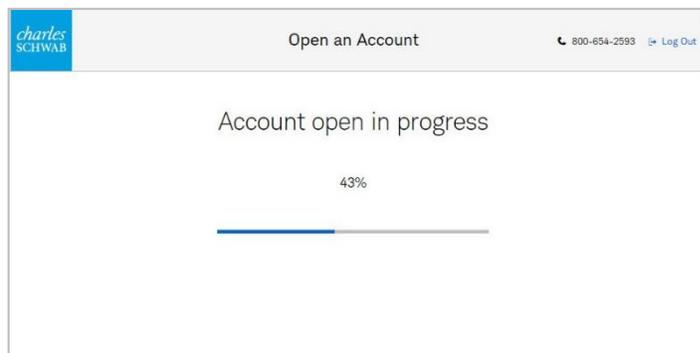
The screenshot shows the 'Open an Account' process on the Charles Schwab website, specifically the 'Terms and Conditions' step. The page title is 'Open an Account' with a Charles Schwab logo on the left and navigation links for 'Live Chat', '602-355-3408', and 'Log Out' on the right. Below the title, there are four tabs: 'Personal Information', 'Account Features', 'Terms and Conditions' (which is active), and 'Next Steps'. The main heading is 'Provide your consent to all terms and conditions.' There are three main sections: 1. 'Agreements with Charles Schwab & Co., Inc.' with a sub-heading 'Agreement for the Use of Electronic Records and Signatures'. It contains a highlighted box with the text 'The use of electronic records and signatures to open my account'. Below this, there is a checkbox that is currently unchecked, with the text 'By checking this checkbox, I acknowledge the use of electronic records and signatures to open my account.' 2. 'Enrollment in Paperless Delivery of Documents' with a sub-heading 'Some or all of my account documents will be delivered electronically'. 3. 'Charles Schwab & Co., Inc. Brokerage Account Agreement, Disclosure and Terms' with a sub-heading 'Schwab's Cash Features Program'. It contains several sub-sections: 'Special Attention for U.S. Addresses', 'Employee Stock Options and Equity Awards', 'Internal Revenue Service (IRS) - taxpayer identification number and tax withholding status', and 'Agree to terms of the contract, which includes a mandatory pre-dispute arbitration clause'. At the bottom of the form, there are two buttons: 'Back' and 'Submit'. A small disclaimer at the bottom of the page reads: 'Brokerage Products: Not FDIC Insured • No Bank Guarantee • May Lose Value. Charles Schwab & Co., Inc. and Charles Schwab Bank are separate but affiliated companies and wholly-owned subsidiaries of The Charles Schwab Corporation. Brokerage products and services are offered by Charles Schwab & Co., Inc. (Schwab Brokerage), Member SIPC. Deposit and lending products and services are offered by Charles Schwab Bank, Member FDIC and Equal Housing Lender. © 2016 Charles Schwab & Co., Inc. All rights reserved. Unauthorized access is prohibited. Usage will be monitored. (1215-7444)'

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Note: If you are not able to view the electronic documents above or do not agree with the representations above, please exit this electronic transfer process by closing the window. Exiting will constitute the withdrawal of your consent. Checking "I consent" will create an electronic record of your consent. This is a one-time consent process for this electronic application.

Account opening progress

The account opening process is almost complete.



Screenshot is for illustrative purposes only.

New account confirmation

Write down the account number you receive on this screen for your records.

Congratulations! You have successfully opened your Charles Schwab account. This screen verifies that your account has been opened.

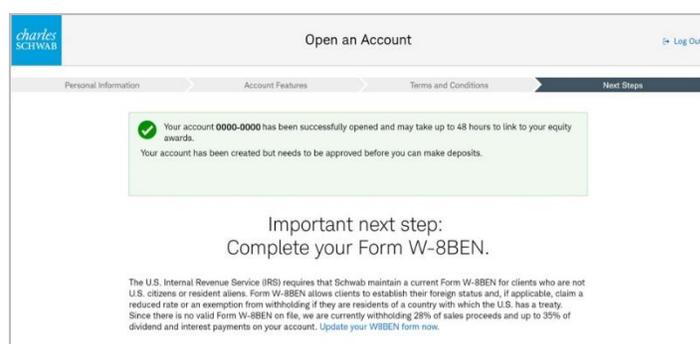
You will now need to begin the Form W-8BEN Tax Certification process by clicking on the link shown at bottom of screen: "Update your W8BEN form now."

The U.S. Internal Revenue Service (IRS) requires that Schwab maintain a current Form W-8BEN for clients who are not U.S. citizens or resident aliens. Form W-8BEN allows clients to establish their foreign status and, if applicable, claim a reduced rate or an exemption from withholding if they are residents of a country with which the U.S. has a treaty.

If there is no valid Form W-8BEN on file, Schwab will withhold 24% of sales proceeds and up to 35% of dividend and interest payments on your account.

Helpful hints

- It may take up to 48 hours to link your account to your equity awards.
- For password reset, call Schwab Stock Plan Services directly by navigating to www.schwab.com/nonusdial to select the country from which you are calling to receive a toll-free international number.
- When you login to your account for the first time, you will see the Online Addendum to Electronic Services Agreement and will be asked whether you are a Nonprofessional Subscriber or Professional Subscriber. Please select "Nonprofessional Subscriber"



Screenshot is for illustrative purposes only.

W-8BEN tax certification process

The electronic W8 certification process opens in a new tab.

In the **Basic Details** section, answer whether you are a U.S. individual and input your First and Last Name, Country of Birth and Date of Birth.

When finished, click on the plus sign to expand the next section.

Helpful hint

- Choose “Individual” if you are completing this form on your own behalf.

The screenshot shows the 'Account Holder Details' form in the 'Basic Details' section. At the top, there are two radio buttons: 'Individual' (selected) and 'Entity'. Below this, a question asks 'Are you a U.S. individual?' with 'Yes' and 'No' radio buttons. The form includes input fields for 'First Name', 'Last Name', 'Country of Birth' (a dropdown menu), and 'Date of Birth (mm/dd/yyyy)'. There are also fields for 'Other First Name' and 'Other Last Name'. Below these are sections for 'TAX Identification Numbers', 'Permanent Residence Address', and 'Contact Details'. A checkbox at the bottom is labeled 'I agree to the Terms & conditions'. A 'CONTINUE' button is at the bottom right.

In the **Tax Identification Numbers** section, choose your Foreign TIN Country from the

The screenshot shows the 'Account Holder Details' form in the 'TAX Identification Numbers' section. The 'Individual' radio button is selected. The section contains a dropdown for 'U.S. TIN Type' (set to '---None---') and a text input for 'U.S. TIN' (containing '81881-123-1111'). Below that is a dropdown for 'Foreign TIN Country' (set to 'Spain') and a text input for 'Foreign TIN' (containing '888888888'). A checkbox labeled 'TIN format not available' is present. There are also sections for 'Permanent Residence Address' and 'Contact Details'. A checkbox at the bottom is labeled 'I agree to the Terms & conditions'. A 'CONTINUE' button is at the bottom right.

drop-down menu then enter your Foreign TIN number in the prompt to the right.

Your Foreign TIN is the tax identification number issued to you by your country of tax residence.

Screenshot is for illustrative purposes only.

Answer all questions as appropriate as they pertain to your personal situation.

Under **Permanent Residence Address** enter your house number and road name, city or town, postal code and country of residence.

Your permanent residence address is the address in the country where you claim to be a resident for purposes of that country's income tax.

Be certain to answer the three questions below this section then Continue.

The screenshot shows the 'Account Holder Details' form on the Charles Schwab website. The 'Permanent Residence Address' section is expanded, showing the following fields and questions:

- House number or name***: Enter House Number or Name
- Road name**: Enter Additional Information
- City or town***: Enter City or Town
- State or Province:**: Enter State or Province
- ZIP or postal code***: Enter Zip or Postal Code
- Country of residence***: ---Select---
- Is this address a Post Office Box?***: Yes (radio), No (radio)
- Is this an In Care Of address?***: Yes (radio), No (radio)
- Is there an alternative mailing or business address in the US?***: Yes (radio), No (radio)

At the bottom of the section, there is a checkbox for 'I agree to the Terms & conditions' and a 'CONTINUE' button.

Enter the **Contact Details** of the person authorized to make the submission (presumably yourself). The contact information will be compared with an alphanumeric confirmation code generated later on in the process.

Check the *I agree to the Terms & conditions* box, then Continue.

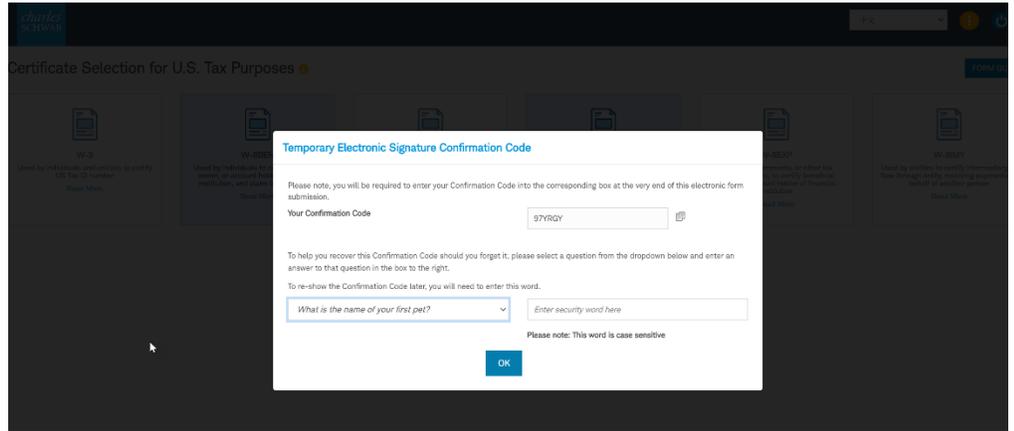
The screenshot shows the 'Account Holder Details' form on the Charles Schwab website. The 'Contact Details' section is expanded, showing the following fields:

- First Name***: Enter First Name
- Last Name***: Enter Last Name
- Email***: example@domain.com
- Primary Contact Number**: ---Select---, Enter Primary Contact Number
- Alternative phone number**: ---Select---, Enter Alternative Number
- Add Alternative Number**: (link)

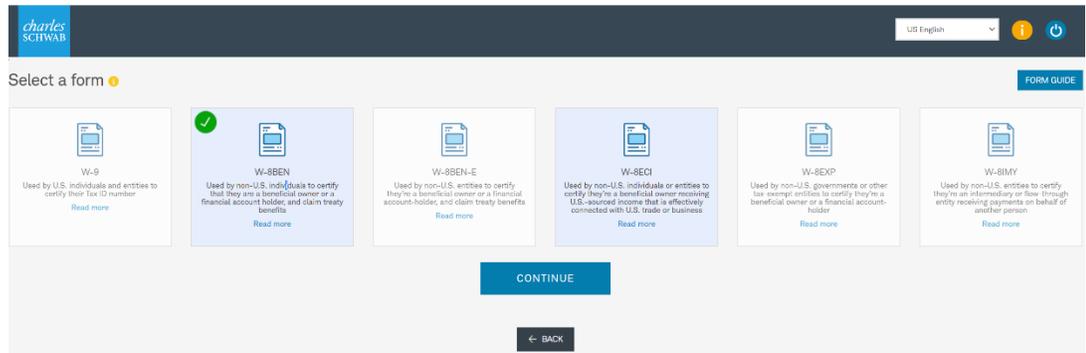
At the bottom of the section, there is a checkbox for 'I agree to the Terms & conditions' and a 'CONTINUE' button.

Answer all questions as appropriate as they pertain to your personal situation.

You MUST copy or write down the **Confirmation Code** that appears on the screen in order to complete your W-8BEN form. To help recover the Confirmation Code, please select a question from the drop-down and enter an answer to that question in the box to the right. To re-show the Confirmation Code later, you will need to enter this word.



You have selected that the entity is a non-U.S. individual for U.S. tax purposes. Select the W-8BEN and Continue.



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Answer all questions as appropriate as they pertain to your personal situation.

United States Citizenship Status

Enter the information requested.

STEP I STEP II STEP III STEP IV

US Sourced Income Declaration (optional)
 United States Citizenship Status
Tax Identification Number
Treaty Claim

United States Citizenship Status

Was the individual born in the United States and held U.S. citizenship? *

Yes No

Country of birth of the individual: *

Israel

Date of birth (mm/dd/yyyy)

Is the individual subject to taxation as a U.S. citizen or resident alien? *

Yes No

Has the individual been physically present in the United States on at least 31 days during the current calendar year? *

Yes No

CONTINUE

Do you want to go back?

NOTE: If you have been physically present in the United States on at least 31 days during the current calendar year and answer “Yes” to this question, you will be presented with a U.S. Substantial Presence Test to determine status for U.S. tax purposes.

Warning

RES109 You have identified that you are submitting a form on behalf of a NON-US Individual or a NON-US Entity and have indicated that the individual or Entity has been physically present in the US for 31 days or more in the current year. You will be presented with a US Substantial Presence Test to determine status for US tax purposes.

Change Continue

Is the individual subject to taxation as a U.S. citizen or resident alien? *

Yes No

Has the individual been physically present in the United States on at least 31 days during the current calendar year? *

Yes No

Complete the information and Confirm.

STEP I STEP II STEP III STEP IV

US Sourced Income Declaration (optional)
 United States Citizenship Status
 United States Substantial Presence Test (Optional)
Tax Identification Number
Treaty Claim

The Substantial Presence Test for U.S. Tax Purposes

How many days has the individual been in the U.S. in the current year? *

How many days has the individual been in the first year before the current year? *

How many days has the individual been in the second year before the current year? *

Substantial Presence Test for U.S. tax purposes total qualifying days:

0

Since the total number of qualifying days does not exceed 183, calculated over the last 3 year period, it is unlikely that the individual is considered a US resident for tax purposes for this current year.

SAVE & EXIT CONFIRM

Do you want to go back?

← BACK

Answer all questions as appropriate as they pertain to your personal situation.

Taxpayer Identification Number

- Your information will
prepopulate here.

Click Continue.

The screenshot shows the Charles Schwab online form for entering a Taxpayer Identification Number (TIN). The form is titled "Taxpayer Identification Number" and is part of a multi-step process. On the left, a sidebar lists the steps: STEP I (completed), STEP II (current), STEP III, and STEP IV. Under STEP II, the following items are listed: "US Sourced Income Declaration (optional)", "United States Citizenship Status" (checked), "United States Substantial Presence Test (Optional)" (checked), "Tax Identification Number", and "Treaty Claim". The main form area is titled "Taxpayer Identification Number" and contains a "Foreign TIN Country" dropdown menu set to "Israel". Below this is a "Foreign TIN" input field with the placeholder text "ENTER FOREIGN TIN". To the right of the input field is a checkbox labeled "Not Available". Below the input field is another checkbox labeled "TIN format not available". At the bottom of the form are two buttons: a blue "CONTINUE" button and a black "BACK" button. The "Do you want to go back?" text is positioned above the "BACK" button. The Charles Schwab logo is in the top left corner, and there are navigation icons in the top right corner.

Screenshot is for illustrative purposes only.

Answer all questions as appropriate as
they pertain to your personal situation.

Treaty Claim Statement – input your information then Continue.

The screenshot shows the 'Treaty Claim Statement' step in a multi-step process. On the left, a sidebar lists steps: STEP I (active), STEP II, STEP III, and STEP IV. Under STEP II, there are checkmarks for 'US Sourced Income Declaration (optional)', 'United States Citizenship Status', 'United States Substantial Presence Test (Optional)', 'Tax Identification Number', and 'Treaty Claim'. The main content area asks 'Is this submission being made to claim treaty benefits?' with radio buttons for 'Yes' (selected) and 'No'. Below, it asks 'I certify the beneficial owner is a resident of:' with a dropdown menu showing '---Select---'. A blue 'CONTINUE' button is centered, with a 'Do you want to go back?' prompt and a '← BACK' button below it. The top header includes the Charles Schwab logo, a language dropdown set to '中文', and icons for help and power. The right sidebar has 'View Form' and 'View Instructions' links.

Special Rates and Conditions – You should only select “Yes” and proceed through the Special Rates and Conditions flow if you are claiming specific treaty benefits that require you to meet conditions you haven’t already declared in your submission. Otherwise, select “No” and Continue.

The screenshot shows the 'Special Rates and Conditions' step. The sidebar on the left shows STEP I (active), STEP II, STEP III, and STEP IV. The main content area asks 'Is the submission being made to claim treaty benefits on items not covered by the representations made above and where special withholding rates and conditions may apply?' with radio buttons for 'Yes' and 'No'. A blue 'CONTINUE' button is centered, with a 'Do you want to go back?' prompt and a '← BACK' button below it. The top header and right sidebar are identical to the previous screenshot.

If no mandatory documents are required for this submission, click on Confirm & Continue button.

The screenshot shows the 'Attach Supporting Documentation' step. The sidebar on the left shows STEP I (active), STEP II, STEP III, and STEP IV. Under STEP III, there is a link for 'Supporting Documentation'. The main content area states 'There are no mandatory documents required for this submission' and features a dark grey 'ADD ADDITIONAL DOCUMENTATION' button. A blue 'CONFIRM & CONTINUE' button is centered, with a 'Do you want to go back?' prompt and a '← BACK' button below it. The top header and right sidebar are identical to the previous screenshots.

Certification -

Read the information then check all boxes and Continue.

Part II Certification

Sign your name, enter the confirmation code and check the box to confirm your acceptance with the declarations above it. The date is pre-populated.

If you did not write down the confirmation code but did set up the Recover Password feature, click on the link.

The screenshot shows the Charles Schwab website interface for the W-8BEN Electronic Substitute Form Statement. The page is divided into a left sidebar and a main content area. The sidebar contains a progress indicator with four steps: STEP I (completed), STEP II (current), STEP III (completed), and STEP IV (completed). Below the steps are links for 'Penalties of Perjury Certification', 'Electronic Signature', and 'U.S. Tax Certification Complete'. The main content area is titled 'Certification' and contains a declaration statement: 'I certify that (Check All). Checking the box signifies you have read the statement, even if it does not directly apply. Under penalties of perjury, I declare that I have examined the information on this form and to the best of my knowledge and belief it is true, correct, and complete. I further certify under penalties of perjury that:'. There are five numbered checkboxes for declarations, and a sixth checkbox for 'Check to confirm you have reviewed the Electronic Form (View Electronic Form)'. A 'CONTINUE' button is located below the declarations. Below the 'CONTINUE' button are fields for 'Signed by' (with a 'Recover Password' link), 'Date' (pre-populated with 06/23/2020), and a checkbox for 'I want to attach a retroactive statement'. A checked checkbox reads 'Please "check" box to confirm your acceptance with the above declarations'. A 'SUBMIT ELECTRONICALLY' button is at the bottom, with a 'Do you want to go back?' prompt and a 'BACK' button below it.

Enter your chosen security word (view the Hint? if needed) then click Ok.

Your Confirmation Code will appear.

Copy the Code and click OK again; input the Code under Enter confirmation code then click the Submit Electronically button.

The screenshot shows the Charles Schwab website interface for the W-8BEN Electronic Substitute Form Statement. The page is dark-themed. The left sidebar shows the progress indicator with STEP II (current) highlighted. The main content area is titled 'Part II Certification' and 'W-8BEN Electronic Substitute Form Statement'. It shows the 'Signed by' field with the name 'Franco Torti' and the 'Date' field with '06/23/2020'. A dialog box titled 'Electronic Signature Confirmation Code Recovery' is open in the foreground. The dialog box contains the following text: 'To recover your Confirmation Code, please type in your security word below. Select the "Hint?" if you need a reminder of your security word.' There are three input fields: 'Security Word', 'Hint?', and 'Your Confirmation Code'. An 'OK' button is at the bottom of the dialog box. The background page shows the 'SUBMIT ELECTRONICALLY' button and the 'Do you want to go back?' prompt with a 'BACK' button.

A screen overlay will appear, please read and check the *I agree with the above declaration* box.

If Schwab is required to provide to you a Form 1042-S, you have the option to select receiving the form in electronic format. Check the applicable box and Submit.

The screenshot shows a dark-themed web interface with a light-colored modal window. On the left, a sidebar lists four steps: STEP I, STEP II, STEP III, and STEP IV. The modal window has a title bar with a close button (X). The main content of the modal is as follows:

The Internal Revenue Service does not require your consent to any provisions of this document other than the certifications required to establish your status as a non-U.S. individual and, if applicable, obtain a reduced rate of withholding.

Electronic Submission Declaration

Under Penalties or Perjury you hereby declare that, pursuant to the Electronic Signature in Global and National Commerce Act - the E-Sign Act - Title 15 U.S.C. §1701, you are declaring that you have examined the information you are about to electronically submit and that to the best of your knowledge and belief it is true, correct and complete.

Furthermore you acknowledge that you understand your rights and obligations under Title 28 U.S.C. §1746 governing unsworn declarations made under the Penalties of Perjury.

I agree with the above declaration

Electronic Recipient Statement

We may be required to provide you with a Form 1042-S or 1099 depending on your US status. In order to receive this statement electronically, by email or accessible through our onboarding portal you must provide your consent by checking the box below. If you do not provide consent a paper copy will be provided. Furthermore, the statement will be provided in PDF format. This consent will remain in place until you withdraw your consent. You may withdraw this consent at any time by writing to our support centre requesting that a paper copy is provided to you. If you require a paper copy after giving consent you may request a copy by writing to our support centre requesting that a paper copy is provided to you. We reserve the right to change our delivery processes and should circumstances change we will contact you by email.

I give consent to receiving a recipient statement electronically.

I do not give consent to receiving a recipient statement electronically.

SUBMIT

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You have completed the U.S. Withholding Certification Submission Process.

You may download and save a PDF that contains the information you entered during this process.

The screenshot shows the Charles Schwab logo in the top left corner and a search bar with the text "中文" in the top right corner. The main content of the page is a confirmation message:

**Thank you for completing
The U.S. Withholding Certification Submission Process**

Submitted information has been passed on to our administration team to validate against data on file.
You do not need to contact us to confirm delivery.
You will be contacted if additional information is required.

If you are using a public computer, please clear your cookies.

DOWNLOAD PDF

EXIT →

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