

Transitioning to Core data files

“Classic” data files are being retired

Schwab is retiring 18 older data file types, originally developed in the 1990s, because they offer limited functionality and fewer data elements. Schwab’s Core file types, developed in 2013 and already available, replace all the functionality of the older files, offer more precision, and can be updated and expanded to meet future needs.

Better file types are already available

Our records indicate that your firm has used one or more of the “Classic” files over the past year, and we want to make it easy for you to transition to the updated Core files before the older ones are retired. This document is designed to make it easy for you to identify the retiring files, see which of the Core files provide the data you previously found in the retiring files, and learn how to access those Core files through Schwab Data Delivery®.

This applies only to Advisor Services and annuity files

This information applies only to the following 18 “Classic” data file types generated for accounts held at Schwab on Schwab’s Broker/Dealer (B/D) platform. This information does not apply to “Classic” data file types generated for accounts held on other platforms such as alternative investments accounts held at Millennium Trust or Equity Trust or at Charles Schwab Bank® (Investor Checking accounts).

Retiring “Classic” file types (see the following tables for details)

- Build files (Generic, PortfolioCenter®, and Schwab Personal Choice Retirement Account® [PCRA])
- Transaction files (Generic, Advent, PortfolioCenter, Designated Brokerage, Universal, PCRA, and STAR)
- Price files (Generic and Universal)
- Confirmation file (Schwab Confirmation)
- Status Report (Deposit Withdrawal Notification)

Making the switch

- The great news is that the updated Core files are delivered through the same data feed. Your firm probably already receives these files; if not, you can select them within the Schwab Data Delivery interface. Also, as part of the file retirement process, Schwab will automatically subscribe any master accounts that aren’t receiving these feeds to the updated Core file types.
- Check to confirm that your systems and providers are using the updated Core data files (most already are).
- If a third party downloads and processes Schwab data files for your firm, or if you have any internal processes or custom software that handles these files, confirm that they are using the updated Core files and not the files that are being retired.

Retiring “Classic” files and corresponding updated Core file types

Files scheduled for retirement

Group 3 (retirement scheduled for July 2023)

“Classic” file name	“Classic” file description	Core file name	Core file description
CSmmdyy.BLD	B/D Generic Build (#1001)	CRSyyyymmdd.RPS CRSyyyymmdd.SEC CRSyyyymmdd.ACC	B/D Core Reconciliation Position (#1081) B/D Core Security Information (#1082) B/D Core Account Information (#1083)
PCmmdyy.SLB	B/D SL PortfolioCenter Build (#1002)	CRSyyyymmdd.RPS CRSyyyymmdd.SEC CRSyyyymmdd.ACC	B/D Core Reconciliation Position (#1081) B/D Core Security Information (#1082) B/D Core Account Information (#1083)
CSmmdyy.TRN	B/D Advent Transaction (#1010)	CRSyyyymmdd.TRN	B/D Core Transaction (#1080)
CSmmdyy.TRN	B/D Desg. Brokerage Advent Transaction (#1011)	CRSyyyymmdd.TRN	B/D Core Transaction (#1080)
CSmmdyy.TRN	B/D Desg. Brokerage Generic Transaction (#1012)	CRSyyyymmdd.TRN	B/D Core Transaction (#1080)
PCmmdyy.SLT	B/D Desg. Brokerage PortfolioCenter Transaction (#1013)	CRSyyyymmdd.TRN	B/D Core Transaction (#1080)
CSmmdyy.TRN	B/D Generic Transaction (#1014)	CRSyyyymmdd.TRN	B/D Core Transaction (#1080)
PCmmdyy.SLT	B/D SL PortfolioCenter Transaction (#1015)	CRSyyyymmdd.TRN	B/D Core Transaction (#1080)
CSmmdyy.BPC	B/D SCS PCRA Build (#1018)	CRSyyyymmdd.RPS CRSyyyymmdd.SEC CRSyyyymmdd.ACC	B/D Core Reconciliation Position (#1081) B/D Core Security Information (#1082) B/D Core Account Information (#1083)
CSmmdyy.TPC	B/D SCS PCRA Transaction (#1019)	CRSyyyymmdd.TRN	B/D Core Transaction (#1080)
SCF####.JJJ	B/D SCS STAR Transaction (#1049)	CRSyyyymmdd.TRN	B/D Core Transaction (#1080)

Files retired

Group 1 (retired February 2022)

“Classic” file name	“Classic” file description	Core file name	Core file description
CSmdddy.CNF	B/D Confirmations (#3)	CRSyyyymmdd.TCF	B/D Core Trade Confirmation (#1060)
CSmdddy.DEP	B/D SL Deposit / Withdrawal Letters (#1006)	CRSyyyymmdd.TRN	B/D Core Transaction (#1080)

Group 2 (retired July 2022)

“Classic” file name	“Classic” file description	Core file name	Core file description
CSmdddy.PRI	B/D Generic Price (#1003)	CRSyyyymmdd.SEC	B/D Core Security Information (#1082)
PRI####.JJJ	B/D SCS Universal Price (#1004)	CRSyyyymmdd.SEC	B/D Core Security Information (#1082)
CSmdddy.SCS	B/D SCS Transaction (#1016)	CRSyyyymmdd.TRN	B/D Core Transaction (#1080)
SAF####.JJJ	B/D SCS Universal Transaction (#1017)	CRSyyyymmdd.TRN	B/D Core Transaction (#1080)
ERTmdddy.TR1	B/D ER Transaction Summary File (#1048)	CRSyyyymmdd.TRN	B/D Core Transaction (#1080)

For more information about the new Core file types, review the [technical specifications](#) on Schwab Advisor Center®. If you have questions, call Advisor Platform Support at 800-647-5465 or email DataSupport@schwab.com.

Schwab B/D Core replacement reference table

This table shows which “Classic” files can be replaced by data contained in the B/D Core data files.

Core file name	Core file description	“Classic” file name	“Classic” file description
CRSyyyymmdd.ACC	B/D Core Account Information (#1083)	CSmdddy.BLD	B/D Generic Build (#1001)
		PCmdddy.SLB	B/D SL PortfolioCenter Build (#1002)
		CSmdddy.BPC	B/D SCS PCRA Build (#1018)
CRSyyyymmdd.RPS	B/D Core Reconciliation Position (#1081)	CSmdddy.BLD	B/D Generic Build (#1001)
		PCmdddy.SLB	B/D SL PortfolioCenter Build (#1002)
		CSmdddy.BPC	B/D SCS PCRA Build (#1018)

Core file name	Core file description	“Classic” file name	“Classic” file description
CRSyyyymmdd.TRN	B/D Core Transaction (#1080)	CSmmddy.TRN	B/D Advent Transaction (#1010)
		CSmmddy.TRN	B/D Generic Transaction (#1014)
		PCmmddy.SLT	B/D SL PortfolioCenter Transaction (#1015)
		CSmmddy.TRN	B/D Desg. Brokerage Advent Transaction (#1011)
		CSmmddy.TRN	B/D Desg. Brokerage Generic Transaction (#1012)
		PCmmddy.SLT	B/D Desg. Brokerage PortfolioCenter Transaction (#1013)
		CSmmddy.SCS	B/D SCS Transaction (#1016)
		SAF####.JJJ	B/D SCS Universal Transaction (#1017)
		ERTmmddy.TR1	B/D ER Transaction Summary File (#1048)
		CSmmddy.DEP	B/D SL Deposit / Withdrawal Letters (#1006)
CRSyyyymmdd.SEC	B/D Core Security Information (#1082)	CSmmddy.BLD	B/D Generic Build (#1001)
		PCmmddy.SLB	B/D SL PortfolioCenter Build (#1002)
		CSmmddy.BPC	B/D SCS PCRA Build (#1018)
		CSmmddy.PRI	B/D Generic Price (#1003)
		PRI####.JJJ	B/D SCS Universal Price (#1004)
CRSyyyymmdd.TCF	B/D Core Trade Confirmation (#1060)	CSmmddy.CNF	B/D Confirmations (#3)

Annuity files

In addition to Broker/Dealer data files, in 2023 Schwab is also retiring a group of data files for variable annuity products. Like the other updated files, the new Core annuity files are already available. The following table shows which files are retiring and identifies the new Core files that are replacing them so that you and your vendors can make the necessary adjustments to your systems.

Annuity (retirement scheduled for 2023)

Classic File Name	Classic File Description	Core File Name	Core File Description
VSmmddy.PRI	DTCC Annuity Generic Price (#1035)	ANN20200714.SEC	DTCC Annuity Core Security Data (#1087)
VSmmddy.RPS	DTCC Annuity Reconciliation (#1036)	ANN20200714.RPS	DTCC Annuity Core Recon Positions Data (#1089)
VSmmddy.TRN	DTCC Annuity Advent Transaction (#1037)	ANN20200714.TRN	DTCC Annuity Core Transaction Data (#1088)
VSmmddy.TRN	DTCC Annuity Generic Transaction (#1038)	ANN20200714.TRN	DTCC Annuity Core Transaction Data (#1088)

The logo for Charles Schwab, featuring the word "charles" in a script font above the word "SCHWAB" in a bold, sans-serif font, all contained within a blue square.