Like no other: Schwab Advisor Services™ at a glance.
Schwab Advisor Services™ is the industry leader and largest custodian of RIA assets,¹ providing custodial, operational, practice management, and trading support to more than 7,500 independent RIA firms. For over 30 years, Schwab Advisor Services has worked resolutely with independent advisors to develop proven processes and insights for starting, building, and growing RIA businesses. Schwab Advisor Services has a strong vision for RIAs and their future and is committed to pushing the financial advising industry forward on advisors’ behalf.

The numbers tell a one-of-a-kind story. We have been a tireless advocate for the independent model and have committed thousands of people and millions in resources to make sure independent advisors have everything they need to grow and succeed.

› $1.6 trillion: More assets under management than anyone in the industry, with the resources, innovation, and insights to help you grow.²
› 7,500+: The number of firms brought together by our events for learning, networking, and exchanging best practices. With Schwab, you are part of a learning community.³
› 1,800: The number of Schwab team members dedicated to custody, trading, and operations support.⁴

Charles Schwab is proud to support more independent advisors of all sizes and their clients than anyone else.
A foundation that frees you to focus on clients—delivering custodial support and services that help you exceed your clients’ expectations

**Custody and trading platform**
- Secure open-architecture platform
- Seamless mobile experience
- Electronic approval features
- Trading and rebalancing tools
- Individual and block trades
- Third-party integration
- Consolidated order status
- Trusted consultants
- Operational assistance
- Client education solutions
- Transition services

**Wealth management**
- Trust services
- Retirement plan solutions
- Lending solutions, including securities-based lending
- Bank deposit solutions
- Cash management solutions
- Schwab Advisor Family Office
- Education investing
- Automated investment management platform
- Stock plan services
- Philanthropic services
- Restricted stock services

**Investment research and trading**
- Trading specialists
- Robust research from Schwab experts and third parties
- Daily and weekly summaries and analyses
- Schwab Market Perspective report
- Decision-making support
- Tracking of major indices
- Investment Proposal Center
- Web trading
- Portfolio rebalancer
- Prime broker services
- Investment Management Center
- Investment Management Consulting Group

**Operational and platform training to help you stay abreast of the latest trends and practices**
- Interactive online training
- Live events and webcasts

**Solutions that propel you forward in an increasingly mobile, competitive, and regulated world**

**Innovative technology to run your practice**
- Advisor mobile access
- Client mobile access
- Integration with leading third-party technology
- Advanced technology consulting, insights, and training
- Portfolio management and performance reporting
- Customizable client web services

**Investment products and solutions**
- Equities and options
- Fixed income
- Exchange-traded funds
- Mutual funds
- Cash investments
- Structured products

**Multilayered security measures and fraud protection**
- Authentication devices for online security
- Schwab Security Guarantee
- Cybersecurity education and best practices

**Easy, safe, and secure digital tools to help you better serve clients**
- Digital account open tools
- Move Money with electronic authorization
- Electronic check deposit
- Transfer of accounts initiation
- Detailed status for important requests, including new accounts and transfer of accounts
- Account alerts
- Balances, positions, and transactions

**Reporting to help you inform your clients**
- Customizable client reporting
- Brokerage statements
- Trade confirmations
- Integrated cost basis reporting
- Year-end gain/loss reports
- eDelivery alternatives
- Issuer communications
Strategic guidance and insights that drive change—proactive, pragmatic advice for managing your practice, creating a vision for your firm’s success, and mapping out how you’ll get there

**Advice and decision-making**
- Business consulting
- Transition consulting
- Technology consulting
- RIA economic analysis
- Technology Adoption Scorecard

**Insights and expertise**
- Independent Advisor Outlook studies
- Generation Now research
- RIA Benchmarking Study and Peer Report
- Compensation Report

**Education and leadership**
- Practice management programs and resources
- Leadership Programs
- Compliance Review
- RIA Talent Advantage®

**Events**
- Event sponsorships
- IMPACT®
- SOLUTIONS® workshops
- Investment Outlook
- Regional events with networking, presentations, seminars, and roundtable discussions
- On-demand and live educational webcasts

Influence when and where it matters—Schwab advocates for you and your clients’ interests

**Consumer-focused marketing**
- RIA industry advocacy
- Investor-facing advocacy campaigns
- Independent advisor directory (FindYourIndependentAdvisor.com)

**Washington advocacy**
- Office of Legislative and Regulatory Affairs in Washington, D.C.
- Rapid-response grassroots campaigns
- Investment Adviser Association Lobbying Day participant

**Regulatory intelligence**
- Compliance insights
- Compliance Review
- Webcast series
- RIA Washington Watch
- Cybersecurity Resource Center
Getting started

Interested in learning more about how Schwab Advisor Services can help you grow and succeed?
Contact us today.
877-687-4085   advisorservices.schwab.com